

SINGAPORE MEDICAL COUNCIL

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Our ref: SMC 13.20.7

13 Sep 2010

CEOs, Chairmen of Medical Boards and Directors of Healthcare Institutions HR Directors and Directors of Medical Manpower (*Please see distribution list*)

ENHANCEMENTS TO THE SUPERVISORY FRAMEWORK FOR CONDITIONALLY AND TEMPORARILY REGISTERED DOCTORS

The 2-tier supervisory framework for conditionally and temporarily registered doctors was first introduced by the Singapore Medical Council ("the Council") on 1 Jan 2008. To cater to the changing needs of our healthcare industry, the Council has enhanced the supervisory framework after a thorough review process with due and careful considerations given to patients' safety.

To facilitate smooth transitions, the enhancements to the revised supervisory framework would be implemented in phases. The enhancements for implementation in the current phase are summarised in **Table 1** below.

Table 1: List of Enhancements at a Brief Glance

1 An SMC-approved supervisor may supervise more- L2 doctors; the revised supervisory ratio is as follows:							
		No. of L1 supervised doctor	No. of L2 supervised doctor				
		0	6	Or			
	1 supervisor to:	1 2	0	Or			
2	for conditionally reg		rom L1 to L2 from 1 year to 2 years to 1 year for and clinical observers).				
3	Introduction of a new level of supervision known as L3 supervision for Conditionally registered doctors who have been ascertained to be ready to work independently, but have yet to fulfill the specified period of supervised practice required for computation towards Full registration; such doctors will continue to practise under supervision of an SMC-approved supervisor although they may be excluded from the ratio count.						
4	Direct emplacement for L2 supervision for conditionally registered doctors who completed housemanship in Singapore satisfactorily.						
5	Rater assessment	stered doctors on L1 s only in the 6 th montl d of in the 6 th month and 1	upervision will be subject n of their first year of c 2 th month).	et to <i>Multi-</i> conditional			

The details of the enhancements are elaborated below.

a) Revised Supervisory Ratio for Conditionally and Temporarily Registered Doctors on L1 and L2 supervision:-

From Current Supervisory Ratio:

	No. of L1 supervised doctor	No. of L2 supervised doctor	
	0	4	Or
1 supervisor to:	1	2	Or
	2	0	

To **Revised** Supervisory Ratio:

	No. of L1 supervised doctor	No. of L2 supervised doctor	
Marie de la companya	0	6	Or
1 supervisor to:	1	3	Or
	2	0	

- b) Revised Progression Rule for Conditionally and Temporarily Registered Doctors on L1 supervision.
- 2. A **conditionally** registered doctor who has received at least-"above average" performance grading for the past <u>6 months [minimum period]</u> (*instead of the current requirement of 1 year*), would be eligible to progress to L2 supervision if he was not involved in any adverse complaints and feedback, <u>subject</u> to the Council's approval.
- 3. A **temporarily** registered doctor (excluding clinical fellows and clinical observers) who has received at least "above average" performance grading for the past 1 year [minimum period] (instead of the current requirement of 2 years), would be eligible to move up to L2 supervision if he was not involved in any adverse complaints and feedback, <u>subject</u> to the Council's approval.

Note: The temporary registrant (service) will revert to L1 supervision should he change practice place or employer. Changes in postings for Temporary registrants under Staff Registrar Schemes, specialist (Basic Specialist & Advanced Specialist Training) or family medicine training are not subjected to this rule.

- c) Introduction of L3 supervision (new level of supervision) for **Conditionally** Registered Doctors Only
- 4. A new level of supervision called **L3** supervision has been introduced under the revised framework. **Conditionally** registered doctors who have been ascertained¹ to be ready to work independently, but have yet to fulfill the specified period² of supervised practice required for computation towards Full registration, would be eligible for L3 supervision.

Eligibility for L3 supervision

- 5. Subject to the Council's approval, the conditionally registered doctor must:
 - Have valid medical registration and practising certificate; and
 - Have received "above average" performance grading for the past 1½ years [minimum period]; and
 - Not be involved in any adverse complaints and feedback.
- A conditionally registered doctor on L3 supervision will:
 - i) Continue to practise under supervision of an SMC-approved supervisor;
 - ii) Be subjected to assessment reports by the respective supervisor(s). The reports will be requested by SMC *annually* until such time that the supervisees complete the specified period of supervised practice required for computation towards the doctor's eligibility for Full registration;
 - iii) Be excluded from the ratio count under the revised Supervisory Framework as indicated in paragraph (a) above; and
 - iv) Prohibited from assuming supervisory role(s) for other junior doctors.
- d) <u>Direct Progression to **L2** Supervision for **Conditionally** Registered Doctors who have completed Housemanship in Singapore</u>
- 7. A foreign-trained doctor who has completed his housemanship in Singapore satisfactorily will be eligible for L2 supervision provided he fulfills the following:-
 - The doctor was not required to repeat his training in any posting during housemanship or first year of his postgraduate training (PGY1);
 - The doctor has successfully obtained a Certificate of Experience issued by the House Officers' Training Committee appointed under the Medical Registration Act (MRA); and
 - The doctor has an employment offer as medical officer with MOH Holdings Pte Ltd or an approved healthcare institution in the public sector;
 and
 - The doctor fulfils SMC's criteria for conditional registration.

¹ The doctor's performance, based on assessment reports and multi-rater assessments, has been considered good by the Council.

The specified period of service under conditional registration as indicated in Table A below

Table A - Specified Period of Supervised Practice Required of a Conditional Registrant							
Cotana d'Arma far Canditional Besistration	Speci	alist*	Non-Specialist*				
Category/ Terms for Conditional Registration	Singaporean	Foreigner & PR	Singaporean	Foreigner & PR			
Specified period of good performance required before eligibility for Full registration	1 year	2 years	2 years	4 years			

- 8. Progressions to the different levels of supervision are <u>not</u> automatic. While every effort is made by the Council to systematically progress doctors to L2/L3 supervision during the transitional period, the employers and HODs are strongly encouraged to put in their requests in writing, if they wish to progress their doctors accordingly.
- e) Frequency of Multi-Rater Assessment for Conditionally Registered Doctors
 Only
- 9. All conditionally registered doctors on L1 supervision will be subjected to Multi-Rater assessment in the 6th month of their first year of conditional registration and as directed by the Council.
- 10. A copy each of the updated Supervisory Framework (Annex A), Assessment Forms Reference Table (Annex B) and Letter of Undertaking by Prospective Supervisor (Annex C) is also enclosed for your perusal and retention.
- 11. Except for (d) above, the rest of these changes would apply retrospectively to all doctors currently on conditional and temporary registration in the Register of Medical Practitioners. Item (d) will apply to new doctors converted from provisional registration to conditional registration with effect from 15 Sep 2010. Kindly bring this notice to the attention of relevant staff in your organisation for compliance where applicable.
- 12. For clarifications pertaining to above changes, please contact the SMC Secretariat via email at moh.gov.sg. Thank you.

DR TAN CHOR HIANG

EXECUTIVE SECRETARY (ADMINISTRATION, REGISTRATION,

ACCREDITATION & SURVEILLANCE)

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SUPERVISORY FRAMEWORK FOR CONDITIONAL/ TEMPORARY REGISTERED DOCTORS FOR PATIENTS' SAFETY

EMPLOYMENT IN A RESTRUCTURED INSTITUTION/ HOSPITAL/ SPECIALTY CENTRE

1. Orientation

- 1.1 All new doctors must undergo an orientation programme before they start work.
- 1.2 The doctor is to be provided with an Orientation File containing the following:
 - a) Important regulations and professional guidelines governing medical practice in Singapore
 - b) General information about the organisation of the hospital/ institution/ specialty centre and the services that it provides
 - c) Good practice in record keeping
 - d) Procedures for arranging x-rays and other investigations, and obtaining test results during and outside normal working hours
 - e) Good prescribing habits for both adult and paediatric patients
 - f) Management protocols for the more common conditions treated in the department/ centre and for emergency conditions
 - g) Hospital drug formulary
 - h) SMC Ethical Code & Ethical Guidelines
- 1.3 A briefing is to be given to highlight the salient points in the file. The briefing should include:
 - overview of the health care provision in Singapore
 - local laws and regulations applicable to doctors
 - administrative information e.g. working hours, duty roster and support facilities available
 - an insight into the local culture and working environment
- 1.4 The doctor should be informed about how he would be appraised and assessed.
- 1.5 The doctor is to be given an orientation cum tour of the department/ centre/ hospital during which the new doctor is introduced to the key staff.
- 1.6 There must be a structured training programme for clinical fellows/ trainees on temporary registration. They are to be given a logbook to log in their cases and procedures. (The supervisor and Head of Department are to inspect and sign in the logbook at the end of the training period.)

2. Supervision

- 2.1 The doctor must work under the supervision of a fully registered medical practitioner who is a registered specialist designated Associate Consultant or above. The name and designation of the supervisor must be made known to the Singapore Medical Council (SMC).
- 2.2 The new doctor should be formally introduced to his supervisor so that the doctor will know who his supervisor is and the supervisor will know who he is expected to supervise.
- 2.3 The supervisor must observe the supervisor-supervisee ratio below.

Table 1: Supervisor-supervisee Ratio

		No. of L1 supervised doctor		
	1 supervisor	0	6	Or
		1	3	Or
	ιυ,	2	0	

Level One (L1) supervision – to be applied in the first 6 months of conditional registration where level of supervision by the appointed supervisor will be more intense.

Level Two (L2) supervision – A conditionally registered doctor who has received at least "above average" performance grading for the past 6 months [minimum period] would be eligible to progress to L2 supervision if he was not involved in any adverse complaints and feedback, subject to the Council's approval. The level of supervision can be less intense at the discretion of the appointed supervisor.

A foreign-trained doctor who has completed his housemanship in Singapore satisfactorily will be eligible for L2 supervision provided he fulfills the following:-

- The doctor was not required to repeat his training in any posting during housemanship or first year of his residency; and
- The doctor has successfully obtained a Certificate of Experience issued by the House Officers' Training Committee appointed under the Medical Registration Act (MRA); and
- The doctor has an employment offer as medical officer with MOH Holdings Pte Ltd or an approved healthcare institution in the public sector; and
- The doctor fulfils SMC's criteria for conditional registration.

Note: **Level Three (L3) supervision** has been introduced for <u>conditionally</u> registered doctors who have been ascertained to be ready to work independently, but have yet to fulfill the specified period¹ of supervised practice required for computation towards Full registration.

Subject to the Council's approval, the conditionally registered doctor must:

- Have <u>valid</u> medical registration and practising certificate; and
- Have received "above average" performance grading for the past 1½ years [minimum period]; and
- Not be involved in any adverse complaints and feedback.

A conditionally registered doctor on L3 supervision will:

- i) Continue to practise under supervision of an SMC-approved supervisor;
- ii) Be subjected to assessment reports by the respective supervisor(s). The reports will be requested by SMC *annually* until such time that the supervisees complete the specified period of supervised practice required for computation towards the doctor's eligibility for Full registration;
- iii) Be excluded from the ratio count under the Supervisory Framework as indicated in Table 1; and
- iv) Prohibited from assuming supervisory role(s) for other junior doctors.

Progressions to the different levels of supervision are <u>not</u> automatic. While every effort is made by the Council to systematically progress doctors to L2/L3 supervision, the employers and HODs are strongly encouraged to put in their requests in writing, if they wish to progress their doctors accordingly.

2.4 The consultant-in-charge of the ward will be responsible for assigning the types of cases to be seen by a new doctor during the initial few weeks or months until such time that the doctor is able to handle the normal caseload.

The specified period of service under conditional registration as indicated in Table A below.

Table A -- Specified Period of Supervised Practice Required of a Conditional Registrant

Specialist* Non-Specialist*

Category/ Terms for Conditional Registration

Singaporean Foreigner & PR Singaporean Foreigner & PR

Specified period of good performance required before eligibility for Full registration

1 year 2 years 2 years 4 years

Please note that this is only a summarised version. For details, please visit the SMC website: http://www.smc.gov.sg

- 2.5 A new doctor should not be allowed to do any operation/ procedure on his own until such time that his supervisor or Head of Department is satisfied that he has been properly trained and is competent to do the operation/ procedure. The doctor must never be assigned a task for which he has insufficient experience or expertise.
- 2.6 The doctor must have direct and timely access to his supervisor or a doctor of at least Associate Consultant grade or equivalent for advice and assistance whenever he has a problem in managing a patient.

3. Monitoring & Feedback

- 3.1 All newly registered doctors on conditional registration will be subject to Level 1 supervision². Close supervision³ would be accorded in the first 3 months of the doctor's registration. The case records of the patients clerked/ treated by a new doctor are to be audited daily by his supervisor at least for the first 3 months. This daily auditing may be extended based on the discretion of the supervisor.
- 3.2 If major flaws are discovered during auditing, the supervisor/consultantin-charge should sit-in with the new doctor to observe his clerking sessions to give immediate feedback.

² Exception 1: Conditional registered doctors belonging to Category (A) or (B) below would practise directly under L2 supervision which can be less intense at the discretion of the appointed supervisor.

Category	Details						
(A)	Foreign-trained (except European-trained) specialists can practise under supervision of an appropriate specialist in private specialist practice IF he/she has 5 years clinical experience after obtaining specialty qualification. He/she must be accredited by the Specialist Accreditation Board and registered with SMC as a Specialist before he/she can practise as a specialist in Singapore						
	Foreign-trained non-specialists can practise in non-institutional or private Family Medicine practice or other private group practice IF he/she has at least 5 years practice in Family Medicine after obtaining any of the following Family Physician qualifications:						
	Member of the Royal College of General Practitioners, MRCGP (UK) Fellow of the Royal Australian College of General Practitioners, FRACGP (Australia only)						
(B)	American Board Certification in Family Medicine, USA						
	Certificate of College of Family Physicians (CCFP, Canada)						
	Fellow of the Hong Kong College of Family Physicians (FHKCFP)						
VINIAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA	Note: To qualify for this category, the doctor's basic medical qualification must be from a medical school listed in the current Schedule of the Medical Registration Act.						

Exception 2: Conditionally registered doctors who have completed housemanship in Singapore satisfactorily would practise directly under L2 supervision, unless directed otherwise by the Council.

Updated 13 Sep 2010 (Restructured Hosp etc)

³ The case records of patients seen by doctor must be under supervision and are to be audited daily by the supervisor in the first 3 months. Audits include in-patient management decisions made by the doctor and outpatient cases. Doctors performing procedures must have their practical and surgical work supervised and audited in the same way.

- 3.3 One-to-one verbal feedback should also be given daily from the time when the new doctor begins to see patients on his own. Once the new doctor's confidence and competency level builds up, the frequency of feedback could be reduced.
- 3.4 In addition to the above feedback sessions, the doctor should attend teaching sessions whereby protocols are examined, doubts cleared and case studies of difficult patients discussed.
- 3.5 The supervisor will provide regular feedback to the Head of Department on the progress of the doctor.
- 3.6 The supervisor is required to keep proper documentation of his review of the doctor's work as these would be audited by the SMC. For example, case notes that have been audited are to be initialled by the supervisor and comments/ amendments clearly written in the notes. Also, where a doctor has been counselled, a short note on the counselling given should be recorded in the doctor's personal file.
- 3.7 The following are to be made available to the audit team for inspection:
 - a) Orientation Package for conditionally and temporarily registered doctors
 - b) Record of attendance at the orientation programmes by conditionally and temporarily registered doctors
 - Case records showing evidence of auditing by the individual doctor's supervisor
 - d) Documentation in the doctor's personal file of any counselling given
- 3.8 In the event that the audit findings show that the standard of supervision is consistently unsatisfactory, the SMC might not allow any new foreign-trained doctors to work in the department/ centre concerned in the future.
- 3.9 Any problems faced with the doctor are to be reported to the Chairman Medical Board/ Medical Director and the Associate Dean/ Director, Clinical Training for remedial action.
- 3.10 Where difficulties arise, especially in adaptation and phasing into the system, the Department is to take appropriate actions and inform the Chairman Medical Board/ Medical Director and the Associate Dean/ Director, Clinical Training or equivalent.
- 3.11 The Chairman Medical Board/ Medical Director, Divisional Chairman and Head of Department are to monitor the progress of the doctor very closely. Recommendation for termination or continuation of service is to be made when appropriate.

4. Supervisor's Assessment Reports

- 4.1 The frequency of supervisor's assessment (Form C1) for a new conditional L1 registrant is as follows:
 - (a) First assessment report at 3rd month;
 - (b) Second assessment report at 6th month;
 - (c) Subsequent assessment reports at 6-monthly intervals
- 4.2 The frequency of supervisor's assessment (Form C1) for a new conditional L2 registrant belonging to Category (A) or (B) or who have completed housemanship in Singapore satisfactorily will be every 6 months.
- 4.3 The frequency of supervisor's assessment (Form C1) for a conditional L3 registrant will be on an annual basis.

5. Multi-rater Assessment Reports

- 5.1 The purpose of the multi-rater assessment reports is to provide the SMC with a holistic view of the conditional registrant's performance whilst practising under supervision.
- 5.2 New conditionally registered doctors under Level 1 supervision will be subjected to multi-rater assessments⁴ (Form C2) by peers and fellow colleagues in the 6th month of their first year of registration.
- 5.3 Depending on circumstances, conditionally registered doctors may be subjected to multi-rater assessments beyond the stipulated period indicated in section 5.2 as directed by the Council.
- New conditional L2 registrants belonging to Category (A) or (B) or who have completed housemanship in Singapore satisfactorily are generally not subjected to multi-rater assessments unless required by the Council e.g. the doctor has received poor assessment reports from his supervisors.

⁴ Conditional registered doctors approved to practice directly under L2 supervision will not be subject to multi-rater assessments unless applicable e.g. has received poor assessment reports from supervisors.

6. Identification of Poor Performers

- 6.1 Poor performers are doctors whose medical competence is not up to par, or whose communication with patients/ colleagues is consistently poor or those with poor attitude.
- 6.2 The feedback and auditing sessions would enable the identification of new doctors who are weak in their work. The specific areas of weakness are to be identified early so that corrective action can be taken without delay.
- 6.3 A doctor with poor attitude is usually identified from feedback from fellow doctors, nurses and paramedical staff within the clinic. Feedback from patients is also extremely important.
- 6.4 A doctor who is a poor performer is to be given counselling by his supervisor once the problem is highlighted.
- 6.5 If there is no improvement seen <u>within one month</u> after counselling, the supervisor should notify the Head of Department and the hospital management, who should then take appropriate action.

<u>Note</u>: The above Supervisory Framework would discretionarily apply to doctors on <u>temporary</u> registration for <u>Service</u> and for Training (i.e. <u>Clinical Fellows</u> or <u>Clinical Observers</u>).

Service

The additional Supervisory Framework guidelines applicable to temporary registrants on Service are set out as follows.

A1 **Level One (L1)** supervision – to be applied in the first year of temporary registration (service) where the level of supervision by the appointed supervisor will be more intense. Depending on the circumstances, L1 supervision may be extended at the discretion of the Council.

Level Two (L2) supervision — A temporarily registered doctor (excluding clinical fellows and clinical observers) who has received at least "above average" performance grading for the past 1 year [minimum period] would be eligible to progress to L2 supervision if he was not involved in any adverse complaints and feedback, subject to the Council's approval. The level of supervision can be less intense at the discretion of the appointed supervisor.

Note: The temporary registrant (service) will revert to L1 supervision should he change practice place or employer. Changes in postings for Temporary registrants under Staff Registrar Schemes, specialist (Basic Specialist & Advanced Specialist Training) or family medicine training are not subjected to this rule.

- A2 The frequency of supervisor's assessment (Form T1) is as follows:
 - (a) First assessment report at end of 3rd month;
 - (b) Subsequent assessment reports at 6-monthly intervals;
 - (c) End of term assessment report

Training (Clinical Fellows/ Clinical Observers)

The additional Supervisory Framework guidelines applicable to Clinical Fellows/ Observers are set out as follows.

- B1 Clinical Fellows/ Observers must remain under Level 1 supervision for the entire duration of their training.
- B2 The frequency of supervisor's assessment (Form T3) is as follows:
 - (a) First assessment report at 3rd month;
 - (b) Subsequent assessment reports at 6-monthly intervals;
 - (c) End of term assessment report
- B3 <u>Clinical Observers</u> must <u>not</u> be involved in the primary management of patients, write in case notes, prescribe treatment or perform procedures independently⁶.
- B4 <u>Clinical Fellows</u> must maintain a logbook of cases that were counselled/ audited by the respective supervisors.
- At the end of the Clinical Fellow/ Observer's training, the trainee doctor must complete a feedback form (Form T4) and have it submitted to the SMC before the doctor leaves the institution.

⁵ Hands-on training must be done under direct supervision. The institution, hospital, supervisor and temporary registrant will be held accountable should this condition be breached.

SMC Reference Table for Progression and Assessment of Conditional & Temporary Registrants

Assessment to be completed by:	C1- Designated supervisor	C2- Peers (2 medical doctors & 1 Nursing Officer) selected by SMC	T1- Designated supervisor T3- Designated supervisor T4- Clinical Fellow/ Observer	T3- Designated supervisor T4- Clinical Fellow/ Observer	C1- Designated supervisor T1- Designated supervisor T3- Designated supervisor T4- Clinical Fellow/ Observer	C1- Designated supervisor
2-registrants Training (Clinical Observers & Clinical Fellows)				Form T3 & Feedback Form T4		
Assessment Forms for <u>Temporary</u> -registrants ruice (Applied or (Clinic rivierm registered before Observer 7 Jan 2008)			Form T3 & Feedback Form T4		Form T3 & Feedback Form T4	
Service (Short-term amployment)			Form T1		Form 11	
Assessment Forms for Conditional registrants	Form C1	Form C2			Form C1	Form C1
Frequency of Assessment	At 3 rd & 6 th month	At 6 ⁱⁿ month	At 3"d month, then at 6-monthly intervals & at end of term.	At 3rd month, then at 6- monthly intervals & at end of term. ⁵	6-monthly intervals	
Vear(s) registered with SMC	C-reg: First ½ year² in approved institution		T-reg (Service): First 1 year ³ in approved institution	T-reg (Training): Entire Training duration	C-reg: (i) After ½ year if reports are good in approved institution (ii) C-reg (converted) after completion of housemanship in Singapore satisfactorily (iii) C-reg joining private sector directly. T-reg (Service): After 1 st year if reports are good in approved institution	il 3 (L3) C-reg: Atter 1 year on L2 supervision if reports are 12-monthly intervals ⁸ good
Supervision		Level 1 (L1)			Level 2 (L2)	Level 3 (L3)

Not be conducted as directed by Council Assessments (Form C2) of C-registrants beyond the first 1/8 year, T-registrants and P-registrants may be conducted as directed by Council e.g. has received poor assessment reports from supervisors.

¹ Refers to all trainees and fellows granted Temporary registration (T-reg), but excludes T-registrants accepted into Basic Specialist or Advanced Specialist Training (BST/ AST).

² Conditional registrant must remain under L1 supervision if 6-monthly assessment reports are average or below average.

³ Temporary registrant (service) must remain under L1 supervision if 6-monthly assessment reports are average or below average.

⁴ Appearize to be appointed must meet SMCs orithers. Or tult registration, Associate Consultant (registered specialist) or Family Physician with 5 yrs working experience in Family Practice.

⁴ Attainer must remain under L1 supervision of training.

⁵ A trainer must remain under L1 supervision throughout the entire duration of training.

⁶ A conditional registrant belonging to Category (A) or (B) below may apply to join any healthcare institution (private or public) and would practise directly under L2 supervision which can be less intensive at the discretion of the appointed supervisors.

Will not be subject to multi-rater assessments unless applicable e.g. has received poor assessment reports from supervisors.

Category		Details
ર્	Foreign accredite	Foreign-trained (except European-trained) specialists can practise under supervision of an appropriate specialist in private specialist practice iF he/she has 5 years clinical experience after obtaining speciality qualification. He/she must be accredited by the Specialist Accreditation Board and registered with SMC as a Specialist before he/she can practise as a specialist in Singapore
	Foreign Physicia	Foreign-trained non-specialists can practise in non-institutional or private Family Medicine practice or other private group practice IF he/she has at least 5 years practice in Family Medicine after obtaining any of the following Family Physician qualifications:
	•	Member of the Royal College of General Practitioners, MRCGP (UK)
é	•	Fellow of the Royal Australian College of General Practitioners, FRACGP (Australia only)
<u>(</u>)	•	American Board Certification in Family Medicine, USA
	•	Certificate of College of Family Physicians (CCFP, Canada)
	Note: T	 Fellow of the Hong Kong College of Family Physicians (FHKCFP) Note: To quality for this patency, the doctor's basic medical qualification must be from a medical school listed in the current Schedule of the Medical Registration Act

⁷ The temporary registrant (service) will revert to L1 supervision should he change practice place or employer. Changes in postings for Temporary registrants under Staff Registrar Schemes, specialist (Basic Specialist & Advanced Specialist Training) or family medicine training are not subjected to this rule.

8 One assessment report will be requested every year, unless there is a change in employer/practice place/supervisor etc.

LETTER OF UNDERTAKING

(To be completed by Prospective Supervisor and Human Resource/Medical Affairs)

SMC 13.1.	.V7				
Date					

Tυ	:	Singapore Medical Council	1	Attn to	15 . v
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SU	PERVISORY FRAMEWORK FOR CONDITION	VALLY & TEMPORARILY REGISTERED DOCTORS
Sec	ction (A) : To be completed by Prospective S	Supervisor
l cc	onfirm the following:	
(i)	I have read the guidelines under the SMC' undertake to comply with these guidelines.	s Supervisory Framework ¹ issued by the Medical Council and
(ii)	I will be the supervisor ² for Dr (as sh	Conditional / Temporary*
(iii)	I am a <u>full-time</u> employee working in the <u>same</u>	institution and department as the above-mentioned supervisee.
<i>y</i> *	Signature and Name Stamp of Supervisor:	
···	Name of Institution/Department:	
Sec	ction (B) ະ To be completed by Human Resoເ	ırce/Medical Affairs
(i)	Posting period of the above-mentioned super-	/isee (if applicable) To(dd/mm/yyyy) (dd/mm/yyyy)
Not	te: To complete part (ii) <u>only</u> if the above-ment	ioned supervisee in Section (A) is on L1 or L2 supervision ³ .
(ii)	The supervisor is currently supervising⁴	Conditional &Temporary Registrant(s) on L1/L2:
	1. Dr	Conditional / Temporary*; (L1 / L2*)
	2. Dr	Conditional / Temporary*; (L1 / L2*)
	3. Dr (as shown on Practising Certificate)	Conditional / Temporary*; (L1 / L2*)
	4. Dr (as shown on Practising Certificate)	Conditional / Temporary*; (L1 / L2*)
	5. Dr	Conditional / Temporary*; (L1 / L2*)
	6. Dr	Conditional / Temporary*; (L1 / L2*)
- 1	The guidelines are available on SMC website: http://www.smc.gov.sg. Ple	

In Hospitals, supervisors must be:

fully registered with the SMC; and registered specialist designated Associate Consultant or above fully registered with SMC; and

Ог Or

Conditionally registered doctors on L3 supervision are excluded from Supervisor-supervisee ratio.

Supervisor-supervisee	and under Sivic's Supervisory Francewo	in.	
	No. of L1 supervised doctor	No. of L2 supervised doctor	
	. 0	6	
1 supervisor to:	1	3	
	2	0	

² Eligibility Criteria for Supervisor under SMC's Framework

In Polyclinics/ GPs/ Medical Centres/ VWOs/ Hospice, supervisors must be:

have at least 5 years of experience in general practice or a recognised postgraduate medical qualification

SUPERVISORY FRAMEWORK FOR CONDITIONAL/ TEMPORARY REGISTERED DOCTORS FOR PATIENTS' SAFETY

EMPLOYMENT IN A PRIVATE HOSPITAL

1. Orientation

- 1.1 All new doctors must undergo an orientation programme before they start work.
- 1.2 The doctor is to be provided with an Orientation File containing the following:
 - a) Important regulations and professional guidelines governing medical practice in Singapore
 - b) General information about the organisation of the hospital and the services that it provides
 - c) Good practice in record keeping
 - d) Procedures for arranging x-rays and other investigations, and obtaining test results within and outside normal working hours
 - e) Good prescribing habits for both adult and paediatric patients
 - f) Management protocols for the more common conditions treated in the department and for emergency conditions
 - g) Hospital drug formulary
 - h) SMC Ethical Code & Ethical Guidelines
- 1.3 A briefing is to be given to highlight the salient points in the file. The briefing should include:
 - overview of the health care provision in Singapore
 - local laws and regulations applicable to doctors
 - administrative information e.g. working hours, duty roster and support facilities available
 - an insight into the local culture and working environment
- 1.4 The doctor should be informed about how he would be appraised and assessed.
- 1.5 The doctor is to be given an orientation cum tour of the department and hospital during which the new doctor is introduced to the key staff.

2. Supervision

- 2.1 The doctor must work under the supervision of a fully registered medical practitioner who is a registered specialist designated Associate Consultant or above. The name and designation of the supervisor must be made known to the Singapore Medical Council (SMC).
- 2.2 The new doctor should be formally introduced to his supervisor so that the doctor will know who his supervisor is and the supervisor will know who he is expected to supervise.
- 2.3 The supervisor must observe the supervisor-supervisee ratio below.

Table 1: Supervisor-supervisee Ratio

	No. of Li supervised doctor	No. of L2 supervised doctor	***************************************
	0	6	Or
1 supervisor to:	1	3	Or
	2	0	

Level One (L1) supervision - to be applied in the first 6 months of conditional registration where level of supervision by the appointed supervisor will be more intense.

Level Two (L2) - supervision – A conditionally registered doctor who has received at least "above average" performance grading for the past 6 months [minimum period] would be eligible to progress to L2 supervision if he was not involved in any adverse complaints and feedback, subject to the Council's approval. The level of supervision can be less intense at the discretion of the appointed supervisor.

A foreign-trained doctor who has completed his housemanship in Singapore satisfactorily will be eligible for L2 supervision provided he fulfills the following:-

- The doctor was not required to repeat his training in any posting during housemanship or first year of his residency; and
- The doctor has successfully obtained a Certificate of Experience issued by the House Officers' Training Committee appointed under the Medical Registration Act (MRA); and
- The doctor has an employment offer as medical officer with MOH Holdings Pte Ltd or an approved healthcare institution in the public sector; and
- The doctor fulfils SMC's criteria for conditional registration.

Note: **Level Three (L3) supervision** has been introduced for <u>conditionally</u> registered doctors who have been ascertained to be ready to work independently, but have yet to fulfill the specified period¹ of supervised practice required for computation towards Full registration.

Subject to the Council's approval, the conditionally registered doctor must:

- Have valid medical registration and practising certificate; and
- Have received "above average" performance grading for the past 1½ years [minimum period]; and
- Not be involved in any adverse complaints and feedback.

A conditionally registered doctor on L3 supervision will:

- i) Continue to practise under supervision of an SMC-approved supervisor;
- ii) Be subjected to assessment reports by the respective supervisor(s). The reports will be requested by SMC annually until such time that the supervisees complete the specified period of supervised practice required for computation towards the doctor's eligibility for Full registration;
- iii) Be excluded from the ratio count under the Supervisory Framework as indicated in Table 1; and
- iv) Prohibited from assuming supervisory role(s) for other junior doctors.

Progressions to the different levels of supervision are <u>not</u> automatic. While every effort is made by the Council to systematically progress doctors to L2/L3 supervision, the employers and HODs are strongly encouraged to put in their requests in writing, if they wish to progress their doctors accordingly.

1 The specified period of service under conditional registration as indicated in Table A below.

Table A – Specified Period of Supervised Practice Required of a Conditional Registrant

Specialist*

Non-Specialist*

Singaporean Foreigner & PR Singaporean Foreigner & PR

Specified period of good performance required before eligibility for Full registration

1 year 2 years 2 years 4 years

Please note that this is only a summarised version. For details, please visit the SMC website: http://www.smc.gov.sg

- 2.4 The consultant-in-charge of the ward will be responsible for assigning the types of cases to be seen by a new doctor during the initial few weeks or months until such time that the doctor is able to handle the normal caseload.
- 2.5 A new doctor should not be allowed to do any operation/ procedure on his own until such time that his supervisor or Head of Department is satisfied that he has been properly trained and is competent to do the operation/ procedure. The doctor must never be assigned a task for which he has insufficient experience or expertise.
- 2.6 The doctor must have direct and timely access to his supervisor or a doctor of at least Associate Consultant grade or equivalent for advice and assistance whenever he has a problem in managing a patient.

3. Monitoring & Feedback

3.1 All newly registered doctors on conditional registration will be subject to Level 1 supervision². Close supervision³ would be accorded in the first 3 months of the doctor's registration. The case records of the patients

² Exception 1: Conditional registered doctors belonging to Category (A) or (B) below would practise directly under L2 supervision which can be less intense at the discretion of the appointed supervisor.

Category	Details					
(A)	Foreign-trained (except European-trained) specialists can practise under supervision of an appropriate specialist in private specialist practice IF he/she has 5 years clinical experience after obtaining specialty qualification. He/she must be accredited by the Specialist Accreditation Board and registered with SMC as a Specialist before he/she can practise as a specialist in Singapore					
	Foreign-trained non-specialists can practise in non-institutional or private Family Medicine practice or other private group practice IF he/she has at least 5 years practice in Family Medicine after obtaining any of the following Family Physician qualifications:					
	Member of the Royal College of General Practitioners, MRCGP (UK)					
	Fellow of the Royal Australian College of General Practitioners, FRACGP (Australia only)					
(B)	American Board Certification in Family Medicine, USA					
	Certificate of College of Family Physicians (CCFP, Canada)					
	Fellow of the Hong Kong College of Family Physicians (FHKCFP)					
	Note: To qualify for this category, the doctor's basic medical qualification must be from a medical school listed in the current Schedule of the Medical Registration Act.					

<u>Exception 2</u>: Conditionally registered doctors who have completed housemanship in Singapore satisfactorily would practise directly under L2 supervision, unless directed otherwise by the Council.

³ The case records of patients seen by doctor must be under supervision and are to be audited daily by the supervisor in the first 3 months. Audits include in-patient management decisions made by the doctor and outpatient cases. Doctors performing procedures must have their practical and surgical work supervised and audited in the same way.

clerked/ treated by a new doctor are to be audited daily by his supervisor at least for the first 3 months. This daily auditing may be extended based on the discretion of the supervisor.

- 3.2 If major flaws are discovered during auditing, the supervisor/consultant-in-charge should sit-in with the new doctor to observe his clerking sessions to give immediate feedback.
- 3.3 One-to-one verbal feedback should also be given daily from the time when the new doctor begins to see patients on his own. Once the new doctor's confidence and competency level builds up, the frequency of feedback could be reduced.
- 3.4 In addition to the above feedback sessions, the doctor should attend teaching sessions whereby protocols are examined, doubts cleared and case studies of difficult patients discussed.
- 3.5 The supervisor will provide regular feedback to the Head of Department on the progress of the doctor.
- 3.6 The supervisor is required to keep proper documentation of his review of the doctor's work as these would be audited by the SMC. For example, case notes that have been audited are to be initialled by the supervisor and comments/ amendments clearly written in the notes. Also, where a doctor has been counselled, a short note on the counselling given should be recorded in the doctor's personal file.
- 3.7 The following are to be made available to the audit team for inspection:
 - a) Orientation Package for conditionally registered doctors
 - b) Record of attendance at the orientation programmes by conditionally registered doctors
 - c) Case records showing evidence of auditing by the individual doctor's supervisor
 - d) Documentation in the doctor's personal file of any counselling given
- 3.8 In the event that the audit findings show that the standard of supervision is consistently unsatisfactory, the SMC might not allow any new conditionally registered doctors to work in the department concerned/ hospital in the future.
- 3.9 Any problems faced with the doctor are to be reported to the Chairman Medical Board or equivalent and the Director, Clinical Training for remedial action.

- 3.10 Where difficulties arise, especially in adaptation and phasing into the system, the Department is to take appropriate actions and inform the Chairman Medical Board and the Director, Clinical Training or equivalent.
- 3.11 The Chairman Medical Board or equivalent, Divisional Chairman and Head of Department are to monitor the progress of the doctor very closely. Recommendation for termination or continuation of service is to be made when appropriate.

4. Supervisor's Assessment Reports

- 4.1 The frequency of supervisor's assessment (Form C1) for a new conditional L1 registrant is as follows:
 - (a) First assessment report at 3rd month;
 - (b) Second assessment report at 6th month;
 - (c) Subsequent assessment reports at 6-monthly intervals
- 4.2 The frequency of supervisor's assessment (Form C1) for a new conditional L2 registrant belonging to Category (A) or (B) or who have completed housemanship in Singapore satisfactorily will be every 6 months.
- 4.3 The frequency of supervisor's assessment (Form C1) for a conditional L3 registrant will be on an annual basis.

5. Multi-rater Assessment Reports

- 5.1 The purpose of the multi-rater assessment reports by peers and fellow colleagues is to provide SMC with a holistic view of the conditional registrant's performance whilst practising under supervision.
- 5.2 New conditional L2 registrants belonging to Category (A) or (B) or who have completed housemanship in Singapore satisfactorily are generally not subjected to multi-rater assessments unless required by the Council e.g. the doctor has received poor assessment reports from his supervisors.

6. Identification of Poor Performers

- 6.1 Poor performers are doctors whose medical competence is not up to par or whose communication with patients/ colleagues is consistently poor or those with poor attitude.
- 6.2 The feedback and auditing sessions would enable the identification of new doctors who are weak in their work. The specific areas of weakness are to be identified early so that corrective action can be taken without delay.
- 6.3 A doctor with poor attitude is usually identified from feedback from fellow doctors, nurses and paramedical staff within the clinic. Feedback from patients is also extremely important.
- 6.4 A doctor who is a poor performer is to be given counselling by his supervisor once the problem is highlighted.
- 6.5 If there is no improvement seen within one month after counselling, the supervisor should notify the Head of Department and the hospital management, who should then take appropriate action.

SMC Reference Table for Progression and Assessment of Conditional & Temporary Registrants

Assessment to be completed by:		C1- Designated supervisor	C2- Peers (2 medical doctors & 1 Nursing Officer) selected by SMC	T1- Designated supervisor ⁴ T3- Designated supervisor T4- Clinical Fellow/ Observer	T3- Designated supervisor T4- Clinical Fellow/ Observer	C1- Designated supervisor T1- Designated supervisor T3- Designated supervisor T4- Clinical Fellow/ Observer	C1- Designated supervisor
<u> Y</u> registrants	Training (Clinical Observers & Clinical Fellows)				Form T3 & Feedback Form T4		
Assessment Forms for <u>Temporary</u> -registrants	Training¹ (Applied or registered before 1 Jan 2008)			Form T3 & Feedback Form T4		Form T3 & Feedback Form T4	
Assessme	Service (Short-term employment)			Form T1		Form T1	
Assessment Forms for Conditional registrants		Form C1	Form C2			Form C1	Form C1
Frequency of Assessment		At 3rd & 6 th month	At 6th month	At 3" month, then at 6-monthly intervals & at end of term.	At 3 rd month, then at 6- monthly intervals & at end of term. ⁵	6-monthly intervals	12-monthly intervals ⁸
Year(s) registered with SMC		C-reg: First ½ year² in approved institution		T-reg (Service): First 1 year ³ in approved institution	T-reg (Training): Entire Training duration	C-reg: (i) After 1/2 year if reports are good in approved institution (ii) C-reg (converted) after completion of housemanship in Singapore satisfactorily (iii) C-reg joining private sector directly [®] T-reg (Service): After 1 [®] year if reports are good in approved institution	C-reg: After 1 year on L2 supervision if reports are 12-monthly intervals ⁸ good
Supervision Level	Supervision Lavel			Level 2 (L2)	Level 3 (L3)		

Note: Depending on circumstances, multi-rater (MR) assessments (Form C2) of C-registrants beyond the first 1/2 year, T-registrants and P-registrants may be conducted as directed by Council e.g. has received poor assessment reports from supervisors.

¹ Refers to all trainees and fellows granted Temporary registration (T-reg), but excludes T-registrants accepted into Basic Specialist or Advanced Specialist Training (BST/AST).

2 Conditional registrant must remain under L1 supervision if 6-monthly assessment reports are average or below average.

3 Temporary registrant (service) must remain under L1 supervision if 6-monthly assessment reports are average or below average.

4 Supervisor be appointed must need SMO's oxideria. Consultant (registered specialist) or Family Physician with 5 yrs working experience in Family Practice.

5 Apprenies of the appointed must need smooth the entire duration of training.

6 A trainee must remain under L1 supervision introughout the entire duration of training.

6 A conditional registrant belonging to Category (A) or (B) below may apply to join any healthcare institution (private or public) and would practise directly under L2 supervision which can be less intensive at the discretion of the appointed supervisors and not be subject to multi-rater assessments unless applicable e.g. has received because training the subject to multi-rater assessments unless applicable be.g. has received to supervisors.

Category	Category	
€	Foreign-trained (except European-trained) specialists can practise under supervision of an appropriate specialist in private specialist practice IF he/she has 5 years dinical experience <u>after</u> obtaining speciality qualification. He/she must be accredited by the Specialist Accreditation Board and registered with SMC as a Specialist before he/she can practise as a specialist in Singapore	nerience <u>after</u> obtaining speciatry qualification. He/she must b
	Foreign-trained non-specialists can practise in non-institutional or private Family Medicine practice or other private group practice IF he/she has at least 5 years practice in Family Medicine after obtaining any of the following Family Physician qualifications:	ly Medicine <u>affer</u> obtaining any of the following Family
	Member of the Royal College of General Practitioners, MRCGP (UK)	
ĝ	Fellow of the Royal Australian College of General Practitioners, FRACGP (Australia only)	
a (a	(b) American Board Certification in Family Medicine, USA	
	Certificate of College of Family Physicians (CCFP, Canada)	
	 Fellow of the Hong Kong College of Family Physicians (FHKCFP) Note: To mailty for this category, the disciplination and the state of the Medical Registration Act. 	

⁷ The temporary registrant (service) will revert to L1 supervision should he change practice place or employer. Changes in postings for Temporary registrants under Staff Registrar Schemes, specialist (Basic Specialist & Advanced Specialist Training) or tamily medicine training are not subjected to this rule.

⁸ One assessment report will be requested every year, unless there is a change in employer/practice place/supervisor etc.

LETTER OF UNDERTAKING

	(To be completed by Prospective Sup	ervisor and Human Resource/Medical Affairs)
SM	MC 13.1.V7	
Dat	ate :	
้าบ	: Singapore Medical Council / Attn t	O & w
SUI	UPERVISORY FRAMEWORK FOR CONDITIONAL	LY & TEMPORARILY REGISTERED DOCTORS
Sec	ection (A) : To be completed by Prospective Sup	ervisor
l co	confirm the following:	
(i) _	I have read the guidelines under the SMC's S undertake to comply with these guidelines.	upervisory Framework ¹ issued by the Medical Council an
(ii)) I will be the supervisor ² for Dr	Conditional / Temporary*
(iii)	i) I am a <u>full-time</u> employee working in the <u>same</u> ins	stitution and department as the above-mentioned supervisee
()	Signature and Name Stamp of Supervisor:	
	Name of Institution/Department:	
Sec	ection (B): To be completed by Human Resource	/Medical Affairs
(i)	Posting period of the above-mentioned supervise	
Note	ote: To complete part (ii) only if the above-mention	(dd/mm/yyyy) (dd/mm/yyyy) ed supervisee in Section (A) is on L1 or L2 supervision ³ .
(ii)	,	Conditional & Temporary Registrant(s) on L1/L2 :
\''',		white-describerations recovered and
	1. Dr	Conditional / Temporary*; (L1 / L2*)
	2. Dr	Conditional / Temporary*; (L1 / L2*)
	(as shown on Practising Certificate)	
	3. Dr	Conditional / Temporary*; (L1 / L2*)
	4. Dr	Conditional / Temporary*; (L1 / L2*)
	(as shown on Practising Certificate)	
	5. Dr (as shown on Practising Certificate)	Conditional / Temporary*; (L1 / L2*)
	6. Dr	Conditional / Temporary*; (L1 / L2*)
	¹ The guidelines are available on SMC website: http://www.smc.gov.sg. Please	print a hardcopy for your own reference.
Ī	² Eligibility Criteria for Supervisor under SMC's Framework In Hospitals, supervisors must be:	Polyclinics/ GPs/ Medical Centres/ VWOs/ Hospice, supervisors must be:
THAT AND THE PARTY OF THE PARTY	(i) fully registered with the SMC; and (ii) registered specialist designated Associate Consultant or above (iii)	fully registered with SMC; and have at least 5 years of experience in general practice or a recognised postgraduate medical qualification
1 3 4	Conditionally registered doctors on L3 supervision are excluded from Supervis Supervisor-supervisee ratio under SMC's Supervisory Framework: No. of L1 supervised doctor	or-supervisee ratio.

	No. of L1 supervised doctor	No. of L2 supervised doctor	
	0	6	Or
1 supervisor to:	1	3	Or
	2	0	

SUPERVISORY FRAMEWORK FOR CONDITIONAL/ TEMPORARY REGISTERED DOCTORS FOR PATIENTS' SAFETY

EMPLOYMENT IN A POLYCLINIC

1. Orientation

- 1.1 All new doctors must undergo an orientation programme before they start work.
- 1.2 Each doctor is to be provided with an Orientation File containing the following:
 - a) Important regulations and professional guidelines governing medical practice in Singapore
 - b) General information about the workflow of the polyclinic
 - c) Good practice in record keeping
 - d) Good prescribing habits for both adult and paediatric patients
 - e) Common acute conditions in a primary care setting
 - approach to acute respiratory tract infection
 - approach to gastro-enterological problems
 - approach to urinary tract infection
 - management of common minor ailments
 - common chronic conditions in a primary care setting
 - local rules and regulations
 - f) SMC Ethical Code & Ethical Guidelines
- 1.3 A briefing is to be given to highlight salient points in the file. The briefing should include:
 - overview of the health care provision in Singapore
 - local laws and regulations applicable to doctors
 - administrative information on the clinic, e.g. operating hours, services provided and support facilities available
 - an insight into the local culture and working environment
- 1.4 The doctor should be informed about how he would be appraised and assessed.
- 1.5 The doctor is to be given an orientation cum tour of the clinic during which he is introduced to the staff and the workflow in the clinic.

2. Supervision

- 2.1 The doctor must work under the supervision of a fully registered medical practitioner <u>practising in the same location</u>. The supervisor must have at least **5** years of experience in general practice or a recognised postgraduate medical qualification. The name of the supervisor and his/her number of years of experience in general practice must be made known to the Singapore Medical Council (SMC).
- 2.2 The doctor should be formally introduced to his supervisor so that the doctor will know who his supervisor is and the supervisor will know who he is expected to supervise.
- 2.3 The supervisor must observe the supervisor-supervisee ratio below.

Table 1: Supervisor-supervisee Ratio

	No. of L1 supervised doctor	No. of L2 supervised doctor	
	0	6	Or
1 supervisor to:	1	3	Or
	2	0	

Level One (L1) supervision - to be applied in the first 6 months of conditional registration where level of supervision by the appointed supervisor will be more intense.

Level Two (L2) - supervision — A conditionally registered doctor who has received at least "above average" performance grading for the past 6 months [minimum period] would be eligible to progress to L2 supervision if he was not involved in any adverse complaints and feedback, subject to the Council's approval. The level of supervision can be less intense at the discretion of the appointed supervisor.

A foreign-trained doctor who has completed his housemanship in Singapore satisfactorily will be eligible for L2 supervision provided he fulfills the following:-

- The doctor was not required to repeat his training in any posting during housemanship or first year of his residency; and
- The doctor has successfully obtained a Certificate of Experience issued by the House Officers' Training Committee appointed under the Medical Registration Act (MRA); and
- The doctor has an employment offer as medical officer with MOH Holdings Pte Ltd or an approved healthcare institution in the public sector; and
- The doctor fulfils SMC's criteria for conditional registration.

Note: **Level Three (L3) supervision** has been introduced for <u>conditionally</u> registered doctors who have been ascertained to be ready to work independently, but have yet to fulfill the specified period¹ of supervised practice required for computation towards Full registration.

Subject to the Council's approval, the conditionally registered doctor must:

- Have valid medical registration and practising certificate; and
- Have received "above average" performance grading for the past 1½ years [minimum period]; and
- Not be involved in any adverse complaints and feedback.

A conditionally registered doctor on L3 supervision will:

- i) Continue to practise under supervision of an SMC-approved supervisor;
- ii) Be subjected to assessment reports by the respective supervisor(s). The reports will be requested by SMC annually until such time that the supervisees complete the specified period of supervised practice required for computation towards the doctor's eligibility for Full registration;
- iii) Be excluded from the ratio count under the Supervisory Framework as indicated in Table 1; and
- iv) Prohibited from assuming supervisory role(s) for other junior doctors.

Progressions to the different levels of supervision are <u>not</u> automatic. While every effort is made by the Council to systematically progress doctors to L2/L3 supervision, the employers and HODs are strongly encouraged to put in their requests in writing, if they wish to progress their doctors accordingly.

¹ The <u>specified period</u> of service under conditional registration as indicated in Table A below.

Table A – Specified Period of Supervised Practice Required of a Conditional Registrant					
Category/ Terms for Conditional Registration	Speci	alist*	Non-Specialist*		
Category Terms for Conditional Negistration	Singaporean	Foreigner & PR	Singaporean	Foreigner & PR	
Specified period of good performance required before eligibility for Full registration	1 year	2 years	2 years	4 years	

- 2.4 A new doctor is expected to do sit-in sessions with a mentor everyday for the first 1 to 2 weeks to gain an insight of the spectrum of work of the clinic and to be familiarised with the drugs used to manage the different conditions.
- 2.5 The doctor must always have direct and timely access to his supervisor or a senior colleague for advice and assistance whenever he has a problem in managing a patient.
- 2.6 The doctor must never be allowed to undertake a task for which he has insufficient experience and expertise.
- 2.7 During the first week when the doctor sees new patients with acute problems by himself, the doctor should report his plan of management to his supervisor or mentor, before carrying through with the treatment, in the event that a review by the senior doctor is required.

3. Monitoring & Feedback

3.1 All newly registered doctors on conditional registration will be subject to Level 1 supervision². Close supervision³ would be accorded in the first

² Exception 1: Conditional registered doctors belonging to Category (A) or (B) below would practise directly under L2 supervision which can be less intense at the discretion of the appointed supervisor.

Category	Details								
(A)	Foreign-trained (except European-trained) specialists can practise under supervision of an appropriate specialist in private specialist practice IF he/she has 5 years clinical experience after obtaining specialty qualification. He/she must be accredited by the Specialist Accreditation Board and registered with SMC as a Specialist before he/she can practise as a specialist in Singapore								
	Foreign-trained non-specialists can practise in non-institutional or private Family Medicine practice or other private group practice IF he/she has at least 5 years practice in Family Medicine after obtaining any of the following Family Physician qualifications:								
	Member of the Royal College of General Practitioners, MRCGP (UK)								
	Fellow of the Royal Australian College of General Practitioners, FRACGP (Australia only)								
(B)	American Board Certification in Family Medicine, USA								
	Certificate of College of Family Physicians (CCFP, Canada)								
	Fellow of the Hong Kong College of Family Physicians (FHKCFP)								
	Note: To qualify for this category, the doctor's basic medical qualification must be from a medical school listed in the current Schedule of the Medical Registration Act.								

Exception 2: Conditionally registered doctors who have completed housemanship in Singapore satisfactorily would practise directly under L2 supervision, unless directed otherwise by the Council.

³ The case records of patients seen by doctor are to be audited daily by the supervisor in the first 3 months. Audits include in-patient management decisions made by the doctor and outpatient cases. Doctors performing procedures must have their practical and surgical work supervised and audited in the same way.

3 months of the doctor's registration. The case records of the patients clerked/ treated by a new doctor are to be audited daily by his supervisor at least for the first 3 months. This daily auditing may be extended based on the discretion of the supervisor.

- 3.2 If major flaws are discovered during auditing, the supervisor/ mentor should sit-in with the new doctor to observe his clerking sessions to give immediate feedback.
- 3.3 One-to-one verbal feedback should also be given daily from the time when the new doctor begins to see patients on his own. Once the new doctor's confidence and competency level builds up, the frequency of feedback could be reduced.
- 3.4 In addition to the above feedback sessions, the doctor should attend teaching sessions whereby protocols would be examined, doubts cleared and case studies of difficult patients discussed.
- 3.5 Where difficulties arise, especially in adaptation and phasing into the system, the supervisor is to take appropriate actions and inform the management of the practice/ polyclinic.
- 3.6 The supervisor is required to keep proper documentation of his review of the doctor's work as these would be audited by the SMC. For example, case notes that have been audited are to be initialled by the supervisor and comments/ amendments clearly written in the notes. Also, where a doctor has been counselled, a short note on the counselling given should be recorded in the doctor's personal file.
- 3.7 The following are to be made available to the audit team for inspection:
 - a) Orientation Package for conditionally and temporarily registered doctors
 - b) Record of attendance at the orientation programmes by conditionally and temporarily registered doctors
 - c) Case records showing evidence of auditing by the individual doctor's supervisor
 - d) Documentation in the doctor's personal file of any counselling given
- 3.8 In the event that the audit findings show that the standard of supervision is consistently unsatisfactory, the SMC might not allow new foreign-trained doctors to work in the polyclinic in the future.
- 3.9 The supervisor is to monitor the progress of the doctor very closely. Recommendation for termination or continuation of service is to be made when appropriate.

4. Supervisor's Assessment Reports

- 4.1 The frequency of supervisor's assessment (Form C1) for a new conditional L1 registrant is as follows:
 - (a) First assessment report at 3rd month;
 - (b) Second assessment report at 6th month;
 - (c) Subsequent assessment reports at 6-monthly intervals
- 4.2 The frequency of supervisor's assessment (Form C1) for a new conditional L2 registrant belonging to Category (A) or (B) or who have completed housemanship in Singapore satisfactorily will be every 6 months.
- 4.3 The frequency of supervisor's assessment (Form C1) for a conditional L3 registrant will be on an annual basis.

5. Multi-rater Assessment Reports

- 5.1 The purpose of the multi-rater assessment reports is to provide the SMC with a holistic view of the conditional registrant's performance whilst practising under supervision.
- 5.2 New conditionally registered doctors under Level 1 supervision will be subjected to multi-rater assessments⁴ (Form C2) by peers and fellow colleagues in the 6th month of their first year of registration.
- 5.3 Depending on circumstances, conditionally registered doctors may be subjected to multi-rater assessments beyond the stipulated period indicated in section 5.2 as directed by the Council.
- New conditional L2 registrants belonging to Category (A) or (B) or who have completed housemanship in Singapore satisfactorily are generally not subjected to multi-rater assessments unless required by the Council e.g. the doctor has received poor assessment reports from his supervisors.

Conditional registered doctors approved to practice directly under L2 supervision will not be subject to multi-rater assessments unless applicable e.g. has received poor assessment reports from supervisors.

6. Identification of Poor Performers

- 6.1 Poor performers are doctors whose medical competence is not up to par or whose communication with patients/ colleagues is consistently poor or those with poor attitude.
- 6.2 The feedback and auditing sessions would enable the identification of new doctors who are weak in their work. The specific areas of weakness are to be identified early so that corrective action can be taken without delay.
- 6.3 A doctor with poor attitude is usually identified from feedback from fellow doctors, nurses and paramedical staff within the clinic. Feedback from patients is also extremely important.
- 6.4 A doctor who is a poor performer is to be given counselling by the doctor-in-charge once the problem is highlighted.
- 6.5 If there is no improvement seen within one month after counselling, the supervisor should notify the management, who should then take appropriate action.

Note: The above Supervisory Framework would discretionarily apply to doctors on temporary registration for Service and for Training (i.e. Clinical Fellows or Clinical Observers).

Service

The additional Supervisory Framework guidelines applicable to temporary registrants on Service are set out as follows.

Level One (L1) supervision - to be applied in the first year of temporary registration (service) where the level of supervision by the appointed supervisor will be more intense. Depending on the circumstances, L1 supervision may be extended at the discretion of the Council.

Level Two (L2) supervision - A temporarily registered doctor (excluding clinical fellows and clinical observers) who has received at least "above average" performance grading for the past 1 year [minimum period] would be eligible to progress to L2 supervision if he was not involved in any adverse complaints and feedback, subject to the Council's approval. The level of supervision can be less intense at the discretion of the appointed supervisor.

Note: The temporary registrant will revert to L1 supervision should he change to a different discipline or practice place (not applicable to specialist or family medicine or Staff Registrar Scheme trainees on rotations).

- A2 The frequency of supervisor's assessment (Form T1) is as follows:
 - (a) First assessment report at end of 3rd month;
 - (b) Subsequent assessment reports at 6-monthly intervals;(c) End of term assessment report

Training (Clinical Fellows/ Clinical Observers)

The additional Supervisory Framework guidelines applicable to Clinical Fellows/ Observers are set out as follows.

- B1 Clinical Fellows/ Observers must remain under Level 1 supervision for the entire duration of their training.
- B2 The frequency of supervisor's assessment (Form T3) is as follows:
 - (a) First assessment report at 3rd month;
 - (b) Subsequent assessment reports at 6-monthly intervals;
 - (c) End of term assessment report
- B3 Clinical Observers must not be involved in the primary management of patients, write in case notes, prescribe treatment or perform procedures independently⁵.
- B4 Clinical <u>Fellows</u> must maintain a logbook of cases that were counselled/ audited by the supervisor.
- B5 At the end of the Clinical Fellow/ Observer's training, the trainee doctor must complete a feedback form (Form T4) and have it submitted to the SMC before the doctor leaves the institution.

⁵ Hands-on training must be done under direct supervision. The institution, hospital, supervisor and temporary registrant will be held accountable should this condition be breached.

SMC Reference Table for Progression and Assessment of Conditional & Temporary Registrants

Assessment to be completed by:	C1- Designated supervisor	C2- Peers (2 medical doctors & 1 Nursing Officer) selected by SMC	T1- Designated supervisor T3- Designated supervisor T4- Clinical Fellow/ Observer	T3- Designated supervisor T4- Clinical Fellow/ Observer	C1- Designated supervisor T1- Designated supervisor T3- Designated supervisor T4- Cifinical Fellow/ Observer	C1. Designated supervisor
Training (Clinical Observers & Clinical Fellows)				Form T3 & Feedback Form T4		
Assessment Forms for <u>Temporary</u> registrants rivice (Applied or Clinic ritierm registered before Observative of Jan 2008) Clinical Fe			Form T3 & Feedback Form T4		Form T3 & Feedback Form T4	
Service (Short-term employment)			Form T1		Form T1	
Assessment Forms for Conditional registrants	Form C1	Form C2			Form C1	Form C1
Frequency of Assessment	At 3 rd & 6 th month	At 6th month	At 3 rd month, then at 6-monthly intervals & at end of term.	At 3 rd month, then at 6- monthly intervals & at end of term. ⁵	6-monthly intervals	12-monthly intervals ⁸
Vear(s) registered with SMC.	C-reg: First ½ year² in approved institution		T-reg (Service): First 1 year³ in approved institution	T-reg (Training): Entire Training duration	C-reg: (i) After ½ year if reports are good in approved institution (ii) C-reg (converted) after completion of housemanship in Singapore satisfactorily (iii) C-reg joining private sector directly ⁸ T-reg (Service): After ½ year if reports are good in approved	
Supervision		Level 1 (L1)			Level 2 (L2)	Level 3 (L3)

Note: Depending on circumstances, multi-rater (MR) assessments (Form C2) of C-registrants beyond the first 1/2 year, T-registrants and P-registrants may be conducted as directed by Council e.g. has received poor assessment reports from supervisors.

¹ Refers to all trainees and fellows granted Temporary registration (T-reg), but excludes T-registrants accepted into Basic Specialist or Advanced Specialist Training (BST/AST).

² Conditional registrant must remain under L1 supervision if 6-monthly assessment reports are **average or below average.**³ Temporary registrant (service) must remain under L1 supervision if 6-monthly assessment reports are **average or below average.**⁴ Supervision be appointed must need sMOS orchieria. Or full registration, Associate Consultant (registered specialist) or Family Physician with 5 yrs working experience in Family Practice.

⁴ A trainee must remain under L1 supervision in thoughout the enlire duration of training.

⁵ A trainee must remain under L1 supervision throughout the enlire duration of the appointed supervisor and would practise directly under L2 supervision which can be less intensive at the discretion of the appointed will not be subject to multi-rater assessments unless applicable e.g. has received poor assessment reports from supervisors.

Category	Details y
સ	Foreign-trained (except European-trained) specialists can practise under supervision of an appropriate specialist in private specialist practice IF he/she has 5 years clinical experience affer obtaining speciality qualification. He/she must be accredited by the Specialist Accreditation Board and registered with SMC as a Specialist before he/she can practise as a specialist in Singapore
	Foreign-trained non-specialists can practise in non-institutional or private Family Medicine practice or other private group practice IF he/she has at least 5 years practice in Family Medicine after obtaining any of the following Family Physician qualifications:
	Member of the Royal College of General Practitioners, MRCGP (UK)
Ę	Fellow of the Royal Australian College of General Practitioners, FRACGP (Australia only)
<u>a</u>	American Board Certification in Family Medicine, USA
	Gertificate of College of Family Physicians (CCFP, Canada)
	 Fellow of the Hong Kong College of Family Physicians (FHKCFP) Internating the Hong Kong College of Family Physicians (FHKCFP) Internating College of Family Physicians (FHKCFP)

⁷ The temporary registrant (service) will revert to L.1 supervision should he change practice place or employer. Changes in postings for Temporary registrants under Staff Registrar Schemes, specialist (Basic Specialist & Advanced Specialist Training) or family medicine training are not subjected to this rule.

8 One assessment report will be requested every year, unless there is a change in employer/practice place/supervisor etc.

LETTER OF UNDERTAKING

		(To be completed by Prospective Supervisor and Human Resource/Medical Affairs)
SM	C 1:	3.1.V7
Dat	е	:
ìo		: Singapore Medical Council / Attn to
SU	PER	RVISORY FRAMEWORK FOR CONDITIONALLY & TEMPORARILY REGISTERED DOCTORS
Sec	tioi	n (A) : To be completed by Prospective Supervisor
l cc	nfir	rm the following:
(i)		nave read the guidelines under the SMC's Supervisory Framework ¹ issued by the Medical Council and dertake to comply with these guidelines.
(ii)	lw	vill be the supervisor ² for Dr Conditional / Temporary*
(iii)	la	m a <u>full-time</u> employee working in the <u>same</u> institution and department as the above-mentioned supervisee.
()	-	gnature and Name Stamp Supervisor:
	Na	ame of Institution/Department:
Sec	tion	n (B) :: To be completed by Human Resource/Medical Affairs
(i)		osting period of the above-mentioned supervisee (if applicable) To
Not	e: T	o complete part (ii) only if the above-mentioned supervisee in Section (A) is on L1 or L2 supervision ³ .
(ii)		ne supervisor is currently supervising ⁴ Conditional &Temporary Registrant(s) on L1/L2 :
	1.	Dr Conditional / Temporary*; (L1 / L2*)
	2.	Dr Gonditional / Temporary*; (L1 / L2*)
	3.	(as shown on Practising Certificate) Dr
	4.	(as shown on Practising Certificate) Dr
	5.	(as shown on Practising Certificate) Dr
	6.	(as shown on Practising Certificate) Dr
		(as shown on Practising Certificate)
	•	guidelines are available on SMC website: http://www.smc.gov.sg. Please print a hardcopy for your own reference.
ŗ		ospitals, supervisors must be: In Polyclinics/ GPs/ Medical Centres/ VWOs/ Hospice, supervisors must be:
	(i)	fully registered with the SMC; and registered specialist designated Associate Consultant or above registered with SMC; and have at least 5 years of experience in general practice or a recognised postgraduate medical qualification
L.		

	No. of L1 supervised doctor	No. of L2 supervised doctor	
	Ð	6	Or
1 supervisor to:	1	3	Or
	2	0	

³ Conditionally registered doctors on L3 supervision are excluded from Supervisor-supervisee ratio.
⁴ Supervisor-supervisee ratio under SMC's Supervisory Framework:

SUPERVISORY FRAMEWORK FOR CONDITIONAL/ TEMPORARY REGISTERED DOCTORS FOR PATIENTS' SAFETY

EMPLOYMENT IN A MEDICAL CENTRE / GENERAL PRACTICE

1. Orientation

- 1.1 All new doctors must undergo an orientation programme before they start work.
- 1.2 Each doctor is to be provided with an Orientation File containing the following:
 - a) Important regulations and professional guidelines governing medical practice in Singapore
 - b) General information about the workflow of the group practice clinic
 - c) Good practice in record keeping
 - d) Good prescribing habits for both adult and paediatric patients
 - e) Common acute conditions in a primary care setting
 - approach to acute respiratory tract infection
 - approach to gastro-enterological problems
 - approach to urinary tract infection
 - management of common minor ailments
 - common chronic conditions in a primary care setting
 - local rules and regulations
 - f) SMC Ethical Code & Ethical Guidelines
- 1.3 A briefing is to be given to highlight salient points in the file. The briefing should include:
 - overview of the health care provision in Singapore
 - local laws and regulations applicable to doctors
 - administrative information on the clinic, e.g. operating hours, services provided and support facilities available
 - an insight into the local culture and working environment
- 1.4 The doctor should be informed about how he would be appraised and assessed.
- 1.5 The doctor is to be given an orientation cum tour of the medical centre/ clinic during which he is introduced to the staff and the workflow in the medical centre/ clinic.

2. Supervision

- 2.1 The doctor must work under the supervision of a fully registered medical practitioner <u>practising in the same location</u>. The supervisor must have at least **5** years of experience in general practice or a recognised postgraduate medical qualification. The name of the supervisor and his/her number of years of experience in general practice must be made known to the Singapore Medical Council (SMC).
- 2.2 The doctor should be formally introduced to his supervisor so that the doctor will know who his supervisor is and the supervisor will know who he is expected to supervise.
- 2.3 The supervisor must observe the supervisor-supervisee ratio below.

Table 1: Supervisor-supervisee Ratio

. 			
	No. of L1 supervised doctor	No. of L2 supervised doctor	
	0	6	Or
1 supervisor to:	1	3	Or
	2	0	

Level One (L1) supervision - to be applied in the first 6 months of conditional registration where level of supervision by the appointed supervisor will be more intense.

Level Two (L2) - supervision – A conditionally registered doctor who has received at least "above average" performance grading for the past 6 months [minimum period] would be eligible to progress to L2 supervision if he was not involved in any adverse complaints and feedback, subject to the Council's approval. The level of supervision can be less intense at the discretion of the appointed supervisor.

A foreign-trained doctor who has completed his housemanship in Singapore satisfactorily will be eligible for L2 supervision provided he fulfills the following:-

- The doctor was not required to repeat his training in any posting during housemanship or first year of his residency; and
- The doctor has successfully obtained a Certificate of Experience issued by the House Officers' Training Committee appointed under the Medical Registration Act (MRA); and
- The doctor has an employment offer as medical officer with MOH Holdings Pte Ltd or an approved healthcare institution in the public sector; and
- The doctor fulfils SMC's criteria for conditional registration.

Note: **Level Three (L3) supervision** has been introduced for conditionally registered doctors who have been ascertained to be ready to work independently, but have yet to fulfill the specified period of supervised practice required for computation towards Full registration.

Subject to the Council's approval, the conditionally registered doctor must:

- · Have valid medical registration and practising certificate; and
- Have received "above average" performance grading for the past 1½ years [minimum period]; and
- Not be involved in any adverse complaints and feedback.

A conditionally registered doctor on L3 supervision will:

- i) Continue to practise under supervision of an SMC-approved supervisor;
- ii) Be subjected to assessment reports by the respective supervisor(s). The reports will be requested by SMC *annually* until such time that the supervisees complete the specified period of supervised practice required for computation towards the doctor's eligibility for Full registration;
- iii) Be excluded from the ratio count under the Supervisory Framework as indicated in Table 1; and
- iv) Prohibited from assuming supervisory role(s) for other junior doctors.

Progressions to the different levels of supervision are <u>not</u> automatic. While every effort is made by the Council to systematically progress doctors to L2/L3 supervision, the employers and HODs are strongly encouraged to put in their requests in writing, if they wish to progress their doctors accordingly.

The <u>specified period</u> of service under condit Table A - Specified Period of				
	Speci	alist*	Non-Sp	eclalist*
Category/ Terms for Conditional Registration	Singaporean	Forelgner & PR	Singaporean	Foreigner & PR
Specified period of good performance required before eligibility for Full registration	1 year	2 years	2 years	4 years

Please note that this is only a summarised version. For details, please visit the SMC website: http://www.smc.gov.sg Updated 13 Sep 2010 (Medical Centre & GP etc)

- 2.4 A new doctor is expected to do sit-in sessions with his supervisor/ mentor everyday for the first 1 to 2 weeks to gain an insight of the spectrum of work of the clinic and to be familiarized with the drugs used to manage the different conditions.
- 2.5 The doctor must always have direct and timely access to his supervisor or a senior colleague for advice and assistance whenever he has a problem in managing a patient.
- 2.6 The doctor must never be allowed to undertake a task for which he has insufficient experience and expertise.
- 2.7 During the first week when the doctor sees new patients with acute problems by himself, the doctor should report his plan of management to his supervisor/ mentor, before carrying through with the treatment, in the event that a review by the senior doctor is required.

3. Monitoring & Feedback

3.1 All newly registered doctors on conditional registration will be subject to Level 1 supervision². Close supervision³ would be accorded in the first

² Exception 1: Conditional registered doctors belonging to Category (A) or (B) below would practise directly under L2 supervision which can be less intense at the discretion of the appointed supervisor.

Category	Details
(A)	Foreign-trained (except European-trained) specialists can practise under supervision of an appropriate specialist in private specialist practice IF he/she has 5 years clinical experience after obtaining specialty qualification. He/she must be accredited by the Specialist Accreditation Board and registered with SMC as a Specialist before he/she can practise as a specialist in Singapore
(B)	Foreign-trained non-specialists can practise in non-institutional or private Family Medicine practice or other private group practice IF he/she has at least 5 years practice in Family Medicine after obtaining any of the following Family Physician qualifications: Member of the Royal College of General Practitioners, MRCGP (UK) Fellow of the Royal Australian College of General Practitioners, FRACGP (Australia only)
	 American Board Certification in Family Medicine, USA Certificate of College of Family Physicians (CCFP, Canada) Fellow of the Hong Kong College of Family Physicians (FHKCFP) Note: To qualify for this category, the doctor's basic medical qualification must be from a medical school listed in the current Schedule of the Medical Registration Act.

<u>Exception 2</u>: Conditionally registered doctors who have completed housemanship in Singapore satisfactorily would practise directly under L2 supervision, unless directed otherwise by the Council.

³ The case records of patients seen by doctor must be under supervision and are to be audited daily by the supervisor in the first 3 months. Audits include in-patient management decisions made by the doctor and outpatient cases. Doctors performing procedures must have their practical and surgical work supervised and audited in the same way.

Updated 13 Sep 2010 (Medical Centre & GP etc)

3 months of the doctor's registration. The case records of the patients clerked/ treated by a new doctor are to be audited daily by his supervisor at least for the first 3 months. This daily auditing may be extended based on the discretion of the supervisor.

- 3.2 If major flaws are discovered during auditing, the supervisor should sit-in with the new doctor to observe his clerking sessions to give immediate feedback.
- 3.3 One-to-one verbal feedback should also be given daily from the time when the new doctor begins to see patients on his own. Once the new doctor's confidence and competency level builds up, the frequency of feedback could be reduced.
- 3.4 In addition to the above feedback sessions, the doctor should attend teaching sessions whereby protocols would be examined, doubts cleared and case studies of difficult patients discussed.
- 3.5 Where difficulties arise, especially in adaptation and phasing into the system, the supervisor is to take appropriate actions and inform the management of the practice.
- 3.6 The supervisor is required to keep proper documentation of his review of the doctor's work as these would be audited by the SMC. For example, case notes that have been audited are to be initialled by the supervisor and comments/ amendments clearly written in the notes. Also, where a doctor has been counselled, a short note on the counselling given should be recorded in the doctor's personal file.
- 3.7 The following are to be made available to the audit team for inspection:
 - a) Orientation Package for conditionally registered doctors
 - b) Record of attendance at the orientation programmes by conditionally registered doctors
 - Case records showing evidence of auditing by the individual doctor's supervisor
 - d) Documentation in the doctor's personal file of any counselling given
- 3.8 In the event that the audit findings show that the standard of supervision is consistently unsatisfactory, the SMC might not allow the practice to employ conditionally registered doctors directly in the future.
- 3.9 The supervisor is to monitor the progress of the doctor very closely. Recommendation for termination or continuation of service is to be made when appropriate.

4. Supervisor's Assessment Reports

- 4.1 The frequency of supervisor's assessment (Form C1) for a new conditional L1 registrant is as follows:
 - (a) First assessment report at 3rd month;
 - (b) Second assessment report at 6th month;
 - (c) Subsequent assessment reports at 6-monthly intervals
- 4.2 The frequency of supervisor's assessment (Form C1) for a new conditional L2 registrant belonging to Category (A) or (B) or who have completed housemanship in Singapore satisfactorily will be every 6 months.
- 4.3 The frequency of supervisor's assessment (Form C1) for a conditional L3 registrant will be on an annual basis.

5. Multi-rater Assessment Reports

- 5.1 The purpose of the multi-rater assessment reports by peers and fellow colleagues is to provide SMC with a holistic view of the conditional registrant's performance whilst practising under supervision.
- 5.2 New conditional L2 registrants belonging to Category (A) or (B) or who have completed housemanship in Singapore satisfactorily are generally not subjected to multi-rater assessments unless required by the Council e.g. the doctor has received poor assessment reports from his supervisors.

6. Identification of Poor Performers

- 6.1 Poor performers are doctors whose medical competence is not up to par or whose communication with patients/ colleagues is consistently poor or those with poor attitude.
- 6.2 The feedback and auditing sessions would enable the identification of new doctors who are weak in their work. The specific areas of weakness are to be identified early so that corrective action can be taken without delay.
- 6.3 A doctor with poor attitude is usually identified from feedback from fellow doctors, nurses and paramedical staff within the clinic. Feedback from patients is also extremely important.

- 6.4 A doctor who is a poor performer is to be given counselling by the doctor-in-charge once the problem is highlighted.
- 6.5 If there is no improvement seen within one month after counselling, the supervisor should notify the management, who should then take appropriate action.

SMC Reference Table for Progression and Assessment of Conditional & Temporary Registrants

2009/00/04/2002	Frequency of Assessment Forms for Assessment Forms for Temporary-registrants by: Conditional registrants Assessment Forms for Temporary-registrants by: Service (Short-term registered before Conservers & Conservers & Employment) I Jan 2008) Clinical Fellows)	Form C1	Hon at 6-monthly Form T1 Feedback Form T4 Feedback Form T4 Feedback Form T4 Feedback Form T6 Follow! Observer	then at 6- monthly Form T3 & T3- Designated supervisor and of term. ⁵ Feedback Form T4 T4- Clinical Fellow/ Observer	Form T1 Feedback Form T4 Feedback Form T4 Clinical Fellow/ Observer	
re good in mpletion of atisfactorily ectly ⁶ in approved reports are			At 3 rd month, then at 6-monthly intervals & at end of term.	t, then at 6- monthly tend of term. ⁵		ntervals ⁸ Form C1
		C-reg: First 1/2 year² in approved institution At 6th mont	Freg (Service): At 3 rd month First 1 year ⁸ in approved institution	Treg (Training): Entire Training duration	C-reg: (i) After ½ year if reports are good in approved institution (ii) C-reg (converted) after completion of housemanship in Singapore satisfactorily (iii) C-reg joining private sector directly ⁶ T-reg (Service): T-reg (Service): Tareg if reports are good in approved institution	on L2 supervision if reports are 12-monthly

Note: Depending on circumstances, multi-rater (MR) assessments (Form C2) of C-registrants beyond the first 1/2 year, T-registrants and P-registrants may be conducted as directed by Council e.g. has received poor assessment reports from supervisors.

¹ Refers to all trainees and fellows granted Temporary registration (T-reg), but excludes T-registrants accepted into Basic Specialist or Advanced Specialist Training (BST/AST).

² Conditional registrant must remain under L1 supervision if 6-monthly assessment reports are **average or below average.**³ Temporary registrant (service) must remain under L1 supervision if 6-monthly assessment reports are **average or below average.**⁴ Supervision be appointed must meet SMCs oritinar, or full registration, Associate Consultant (registered specialist) or Family Physician with 5 yrs working experience in Family Practice.

⁴ A trainee must remain under L1 supervision of training.

⁸ A trainee must remain under R1 supervision of training.

⁹ A conditional registrant belonging to Category (A) or (B) below may apply to join any healthcare institution (private or public) and would practise directly under L2 supervision which can be less intensive at the discretion of the appointed will not be subject to multi-rater assessments unless applicable e.g. has received poor assessment reports from supervisors.

(Bassa		ANNAL
(y)	Foreign accredite	Foreign-trained (except European-trained) specialists can practise under supervision of an appropriate specialist in private specialist practice if he/she has 5 years clinical experience after obtaining speciality qualification. He/she must be accredited by the Specialist Accreditation Board and registered with SMC as a Specialist before he/she can practise as a specialist in Singapore
	Foreign Physicial	Foreign-trained non-specialists can practise in non-institutional or private Farnily Medicine practice or other private group practice IF he/she has at least 5 years practice in Family Medicine after obtaining any of the following Family Physician qualifications:
	•	Member of the Royal College of General Practitioners, MRGGP (UK)
Ó	•	Fellow of the Royal Australian College of General Practitioners, FRACGP (Australia only)
<u>a</u>	•	American Board Certification in Family Medicine, USA
	•	Certificate of College of Family Physicians (CCFP, Canada)
	Note: To	 Fellow of the Hong Kong College of Family Physicians (FHKCFP) Note: To quality for this patence, the doctor's basic marked must be from a marked in the purent Schodule of the Marked Registration Act
_	2000	ה לתמון זכן וווס סמוסטין הים מסטים ווסמיס וווסמיסמן לתמוווסמיס הם ווכוד של החומים להיו וווס סמוסטין היוס ממוחים היוסמים ווסמיס היוסמים היוסמים ווסמיס היוסמים היוסמים ווסמיס היוסמים היוסמים היוסמים ווסמיס היוסמים היוסמי

⁷ The temporary registrant (service) will revert to L1 supervision should he change practice place or employer. Changes in postings for Temporary registrants under Staff Registrar Schemes, specialist (Basic Specialist & Advanced Specialist Training) or family medicine training are not subjected to this rule.

8 One assessment report will be requested every year, unless there is a change in employer/practice place/supervisor etc.

LETTER OF UNDERTAKING

		(To be completed by Prospective	ve Supervisor and Human Resource/Medical Affairs)
SM	C 13	3.1.V7	
Dat	е	:	
้าบ		: Singapore Medical Council /	Attn to
sui	PER	VISORY FRAMEWORK FOR CONDITION	ONALLY & TEMPORARILY REGISTERED DOCTORS
Sec	tior	(A) : To be completed by Prospective	Supervisor
l co	nfir	m the following:	
(i) _		have read the guidelines under the SModertake to comply with these guidelines.	C's Supervisory Framework¹ issued by the Medical Council ar
(ii)	lw	rill be the supervisor ² for Dr	Conditional / Temporary*
(iii)	La	m a <u>full-time</u> employee working in the <u>sar</u>	ne institution and department as the above-mentioned supervised
	_	gnature and Name Stamp Supervisor:	
	Na	me of Institution/Department:	
Sec	tior	ı (B) : To be completed by Human Res	ource/Medical Affairs
(i)	Po	sting period of the above-mentioned supe	ervisee (if applicable) To (dd/mm/yyyy) (dd/mm/yyyy)
Not	e: T	o complete part (ii) only if the above-me	ntioned supervisee in Section (A) is on L1 or L2 supervision ³ .
(ii)		•	Conditional &Temporary Registrant(s) on L1/L2:
	1.	Dr	Conditional / Temporary*; (L1 / L2*)
	2.	(as shown on Practising Certificate) Dr	Conditional / Temporary*; (L1 / L2*)
	3.	(as snown on Practising Certificate) (as shown on Practising Certificate)	Conditional / Temporary*; (L1 / L2*)
	4.	Dr	☐ Conditional / ☐ Temporary*; (☐ L1 / ☐ L2*)
	5.	(as shown on Practising Certificate) Dr	Conditional / Temporary*; (L1 / L2*)
	6.	(as shown on Practising Certificate) Dr	Conditional / Temporary*; (L1 / L2*)
Law American			
	_	juidelines are available on SMC website: http://www.smc.gov.sg . ility Criteria for Supervisor under SMC's Framework	Please print a hardcopy for your own reference.
		ospitals, supervisors must be:	In Polyclinics/ GPs/ Medical Centres/ VWOs/ Hospice, supervisors must be:
		fully registered with the SMC; and registered specialist designated Associate Consultant or above	(i) fully registered with SMC; and (ii) have at least 5 years of experience in general practice or a recognised postgraduate medical qualification
3	Cond	itionally registered doctors on L3 supervision are excluded from	Supervisor-supervisee ratio.

	No. of L1 supervised doctor	No. of L2 supervised doctor	
	0	6	Or
1 supervisor to:	1	3	Or
	2	0	

SUPERVISORY FRAMEWORK FOR CONDITIONAL/ TEMPORARY REGISTERED DOCTORS FOR PATIENTS' SAFETY

EMPLOYMENT IN THE HEALTH SCIENCES AUTHORITY OR HEALTH PROMOTION BOARD

1. Orientation

- 1.1 All new doctors must undergo an orientation programme before they start work.
- 1.2 The doctor is to be provided with an Orientation File containing the following:
 - a) Important regulations and professional guidelines governing medical practice in Singapore
 - b) General information about the organisation of the statutory board and the centre/ division/ department where he is deployed and the services that it provides
 - c) Good practice in record keeping
 - d) Procedures for arranging x-rays and other investigations (if applicable)
 - e) SMC Ethical Code & Ethical Guidelines
- 1.3 A briefing is to be given to highlight the salient points in the file. The briefing should include:
 - overview of the health care provision in Singapore
 - local laws and regulations applicable to doctors
 - administrative information e.g. working hours, duty roster and support facilities available
 - an insight into the local culture and working environment
- 1.4 The doctor should be informed about how he would be appraised and assessed.
- 1.5 The doctor is to be given an orientation cum tour of the centre/ department during which he is introduced to the key staff.

2. Supervision

- 2.1 The doctor must work under the supervision of a fully registered medical practitioner who is a registered specialist designated Associate Consultant or above. The name and designation of the supervisor must be made known to the Singapore Medical Council (SMC).
- 2.2 The new doctor should be formally introduced to his supervisor so that the doctor will know who his supervisor is and the supervisor will know who he is expected to supervise.
- 2.3 The supervisor must observe the supervisor-supervisee ratio below.

Table 1: Supervisor-supervisee Ratio

		No. of L2 supervised doctor::-	
	0	6	Or
1 supervisor to:	1	3	Or
	2	0	

Level One (L1) supervision - to be applied in the first 6 months of conditional registration where level of supervision by the appointed supervisor will be more intense.

Level Two (L2) - supervision – A conditionally registered doctor who has received at least "above average" performance grading for the past 6 months [minimum period] would be eligible to progress to L2 supervision if he was not involved in any adverse complaints and feedback, subject to the Council's approval. The level of supervision can be less intense at the discretion of the appointed supervisor.

A foreign-trained doctor who has completed his housemanship in Singapore satisfactorily will be eligible for L2 supervision provided he fulfills the following:-

- The doctor was not required to repeat his training in any posting during housemanship or first year of his residency; and
- The doctor has successfully obtained a Certificate of Experience issued by the House Officers' Training Committee appointed under the Medical Registration Act (MRA); and
- The doctor has an employment offer as medical officer with MOH Holdings Pte Ltd or an approved healthcare institution in the public sector; and
- The doctor fulfils SMC's criteria for conditional registration.

Note: **Level Three (L3) supervision** has been introduced for <u>conditionally</u> registered doctors who have been ascertained to be ready to work independently, but have yet to fulfill the specified period¹ of supervised practice required for computation towards Full registration.

Subject to the Council's approval, the conditionally registered doctor must:

- Have <u>valid</u> medical registration and practising certificate; and
- Have received "above average" performance grading for the past 1½ years [minimum period]; and
- Not be involved in any adverse complaints and feedback.

A conditionally registered doctor on L3 supervision will:

- i) Continue to practise under supervision of an SMC-approved supervisor;
- ii) Be subjected to assessment reports by the respective supervisor(s). The reports will be requested by SMC *annually* until such time that the supervisees complete the specified period of supervised practice required for computation towards the doctor's eligibility for Full registration;
- iii) Be excluded from the ratio count under the Supervisory Framework as indicated in Table 1; and
- iv) Prohibited from assuming supervisory role(s) for other junior doctors.

Progressions to the different levels of supervision are <u>not</u> automatic. While every effort is made by the Council to systematically progress doctors to L2/L3 supervision, the employers and HODs are strongly encouraged to put in their requests in writing, if they wish to progress their doctors accordingly.

¹ The **specified period** of service under conditional registration as indicated in Table A below.

Table A – Specified Period	of Supervised Practice	Required of a Conditio	nal Registrant	
	Speci	alist*	Non-Sp	eclailst*
Category/ Terms for Conditional Registration	Singaporean	Forelgner & PR	Singaporean	Foreigner & PR
Specified period of good performance required before eligibility for Full registration	1 year	2 years	2 years	4 years

Please note that this is only a summarised version. For details, please visit the SMC website: http://www.smc.gov.sg

- 2.4 The Head of Department will be responsible for assigning the types of cases to be handled by a new doctor during the initial few weeks or months until such time that the doctor is able to deal with the normal caseload.
- 2.5 A new doctor should not be allowed to do any operation/ procedure on his own until such time that his supervisor or Head of Department is satisfied that he has been properly trained and is competent to do the operation/ procedure. The doctor must never be assigned a task for which he has insufficient experience or expertise.
- 2.6 The doctor must have direct and timely access to his supervisor or a doctor of at least Associate Consultant grade or equivalent for advice and assistance whenever he has a problem.

3. Monitoring & Feedback

3.1 All newly registered doctors on conditional registration will be subject to Level 1 supervision². Close supervision³ would be accorded in the first 3 months of the doctor's registration. The case records of the patients clerked/ treated by a new doctor are to be audited daily by his

² Exception 1: Conditional registered doctors belonging to Category (A) or (B) below would practise directly under L2 supervision which can be less intense at the discretion of the appointed supervisor.

Category	Details
(A)	Foreign-trained (except European-trained) specialists can practise under supervision of an appropriate specialist in private specialist practice IF he/she has 5 years clinical experience after obtaining specialty qualification. He/she must be accredited by the Specialist Accreditation Board and registered with SMC as a Specialist before he/she can practise as a specialist in Singapore
	Foreign-trained non-specialists can practise in non-institutional or private Family Medicine practice or other private group practice IF he/she has at least 5 years practice in Family Medicine after obtaining any of the following Family Physician qualifications:
AAA TAATA AAAA AAAA AAAAA AAAAA AAAAA AAAAA AAAA	Member of the Royal College of General Practitioners, MRCGP (UK)
	Fellow of the Royal Australian College of General Practitioners, FRACGP (Australia only)
(B)	American Board Certification in Family Medicine, USA
	Certificate of College of Family Physicians (CCFP, Canada)
	Fellow of the Hong Kong College of Family Physicians (FHKCFP)
	Note: To qualify for this category, the doctor's basic medical qualification must be from a medical school listed in the current Schedule of the Medical Registration Act.

<u>Exception 2</u>: Conditionally registered doctors who have completed housemanship in Singapore satisfactorily would practise directly under L2 supervision, unless directed otherwise by the Council.

Updated 13 Sep 2010 (HSA & HPB)

³ The case records of patients seen by doctor must be under supervision and are to be audited daily by the supervisor in the first 3 months. Audits include in-patient management decisions made by the doctor and outpatient cases. Doctors performing procedures must have their practical and surgical work supervised and audited in the same way.

- supervisor at least for the first 3 months. This daily auditing may be extended based on the discretion of the supervisor.
- 3.2 If major flaws are discovered during auditing, the supervisor should give immediate feedback.
- 3.3 One-to-one verbal feedback should also be given daily. Once the new doctor's confidence and competency level builds up, the frequency of feedback could be reduced.
- 3.4 In addition to the above feedback sessions, the doctor should attend teaching sessions whereby protocols are examined, doubts cleared and case studies of difficult cases discussed.
- 3.5 The supervisor will provide regular feedback to the Head of Department on the progress of the doctor.
- 3.6 The supervisor is required to keep proper documentation of his review of the doctor's work as these would be audited by the SMC. For example, those records of the doctor's cases that have been audited are to be initialled by the supervisor and comments/ amendments clearly written in the notes. Also, where a doctor has been counselled, a short note on the counselling given should be recorded in the doctor's personal file.
- 3.7 The following are to be made available to the audit team for inspection:
 - a) Orientation Package for conditionally and temporarily registered doctors
 - b) Record of attendance at the orientation programmes by conditionally and temporarily registered doctors
 - c) The records showing evidence of auditing by the individual doctor's supervisor
 - d) Documentation in the doctor's personal file of any counselling given
- 3.8 In the event that the audit findings show that the standard of supervision is consistently unsatisfactory, the SMC might not allow any new foreign-trained doctors to work in the department/ centre concerned in the future.
- 3.9 The supervisor is to report any problems faced with the doctor to the Director of the Centre/ Divisional Head and Chief Executive Officer with recommendations on the remedial actions to be taken.
- 3.10 The Chief Executive Officer and Director of the Centre/ Divisional Head are to monitor the progress of the doctor very closely. Recommendation for termination or continuation of service is to be made when appropriate.

4. Supervisor's Assessment Reports

- 4.1 The frequency of supervisor's assessment (Form C1) for a new conditional L1 registrant is as follows:
 - (a) First assessment report at 3rd month;
 - (b) Second assessment report at 6th month;
 - (c) Subsequent assessment reports at 6-monthly intervals
- 4.2 The frequency of supervisor's assessment (Form C1) for a new conditional L2 registrant belonging to Category (A) or (B) or who have completed housemanship in Singapore satisfactorily will be every 6 months.
- 4.3 The frequency of supervisor's assessment (Form C1) for a conditional L3 registrant will be on an annual basis.

5. <u>Multi-rater Assessment Reports</u>

- 5.1 The purpose of the multi-rater assessment reports is to provide the SMC with a holistic view of the conditional registrant's performance whilst practising under supervision.
- 5.2 New conditionally registered doctors under Level 1 supervision will be subjected to multi-rater assessments⁴ (Form C2) by peers and fellow colleagues in the 6th month of their first year of registration.
- 5.3 Depending on circumstances, conditionally registered doctors may be subjected to multi-rater assessments beyond the stipulated period indicated in section 5.2 as directed by the Council.
- New conditional L2 registrants belonging to Category (A) or (B) or who have completed housemanship in Singapore satisfactorily are generally not subjected to multi-rater assessments unless required by the Council e.g. the doctor has received poor assessment reports from his supervisors.

6. Identification of Poor Performers

6.1 Poor performers are doctors whose medical competence is not up to par, or whose communication with patients/ colleagues is consistently poor or those with poor attitude.

⁴ Conditional registered doctors approved to practice directly under L2 supervision will not be subject to multi-rater assessments unless applicable e.g. has received poor assessment reports from supervisors.

- 6.2 The feedback and auditing sessions would enable the identification of new doctors who are weak in their work. The specific areas of weakness are to be identified early so that corrective action can be taken without delay.
- 6.3 A doctor with poor attitude is usually identified from feedback from fellow doctors and ancillary staff.
- 6.4 A doctor who is a poor performer is to be given counselling by his supervisor once the problem is highlighted.
- 6.5 If there is no improvement seen within one month after counselling, the supervisor should notify the Director of the Centre/ Divisional Head and Chief Executive Officer who should then take appropriate action.

Note: The above Supervisory Framework would discretionarily apply to doctors on <u>temporary</u> registration for <u>Service</u> and for Training (i.e. <u>Clinical Fellows</u> or <u>Clinical Observers</u>).

Service

The additional Supervisory Framework guidelines applicable to temporary registrants on Service are set out as follows.

A1 Level One (L1) supervision - to be applied in the first year of temporary registration (service) where the level of supervision by the appointed supervisor will be more intense. Depending on the circumstances, L1 supervision may be extended at the discretion of the Council.

Level Two (L2) supervision - A temporarily registered doctor (excluding clinical fellows and clinical observers) who has received at least "above average" performance grading for the past 1 year [minimum period] would be eligible to progress to L2 supervision if he was not involved in any adverse complaints and feedback, subject to the Council's approval. The level of supervision can be less intense at the discretion of the appointed supervisor.

Note: The temporary registrant will revert to L1 supervision should he change to a different discipline or practice place (not applicable to specialist or family medicine or Staff Registrar Scheme trainees on rotations).

- A2 The frequency of supervisor's assessment (Form T1) is as follows:
 - (a) First assessment report at end of 3rd month;
 - (b) Subsequent assessment reports at 6-monthly intervals;
 - (c) End of term assessment report

Training (Clinical Fellows/ Clinical Observers)

The additional Supervisory Framework guidelines applicable to Clinical Fellows/ Observers are set out as follows.

- B1 Clinical Fellows/ Observers must remain under Level 1 supervision for the entire duration of their training.
- B2 The frequency of supervisor's assessment (Form T3) is as follows:
 - (a) First assessment report at 3rd month;
 - (b) Subsequent assessment reports at 6-monthly intervals;
 - (c) End of term assessment report
- B3 Clinical Observers must not be involved in the primary management of patients, write in case notes, prescribe treatment or perform procedures independently⁵.
- B4 Clinical <u>Fellows</u> must maintain a logbook of cases that were counselled/ audited by the supervisor.
- B5 At the end of the Clinical Fellow/ Observer's training, the trainee doctor must complete a feedback form (Form T4) and have it submitted to the SMC before the doctor leaves the institution.

⁵ Hands-on training must be done under direct supervision. The institution, hospital, supervisor and temporary registrant will be held accountable should this condition be breached.

SMC Reference Table for Progression and Assessment of Conditional & Temporary Registrants

Supervision Level	Year(S) registered with SMC	Frequency of Assessment	Assessment Forms for Conditional registrants	Assessmer	Assessment Forms for <u>Temporary</u> -registrants	y-registrants	Assessment to be completed by:
				Service (Short-term employment)	Training (Applied or registered before 1 Jan 2008)	Training (Clinical Observers & Clinical Fellows)	
	C-reg: First ½ year² in approved institution	At 3" & 6 th month	Form C1				C1- Designated supervisor
Level 1 (1.1)		At 6 th month	Form C2				C2- Peers (2 medical doctors & 1 Nursing Officer) selected by SMC
į	Trreg (Service): First 1 year³ in approved institution	At 3 rd month, then at 6-monthly intervals & at end of term.		Form T1	Form T3 & Feedback Form T4		T1- Designated supervisor ⁴ T3- Designated supervisor T4- Clinical Fellow/ Observer
	T-reg (Training): Entire Training duration	At 3 rd month, then at 6- monthly intervals & at end of term. ⁵				Form T3 & Feedback Form T4	T3- Designated supervisor T4- Clinical Fellow/ Observer
Level 2 (L2)	C-reg: (i) After ½ year if reports are good in approved institution (ii) C-reg (converted) after completion of housemanship in Singapore satisfactorily (iii) C-reg joining private sector directly [®]	6-monthly intervals	Form C1	Form T1	Form T3 & Feedback Form T4		C1- Designated supervisor T1- Designated supervisor T3- Designated supervisor T4- Clinical Fellow/ Observer
	T-reg (Service): After 1st year if reports are good in approved institution		a de la companya de l	The state of the s			d modern and an artist and a second a second and a second a second and
Level 3 (L3)	C-reg: After 1 year on L2 supervision if reports are good	12-monthly intervals ⁸	Form C1				C1. Designated supervisor

Note: Depending on circumstances, multi-rater (MR) assessments (Form C2) of C-registrants beyond the first ½ year, T-registrants and P-registrants may be conducted as directed by Council e.g. has received poor assessment reports from supervisors.

Peters to all trainees and fellows granted Temporary registration (T-reg), but excludes T-registrants accepted into Basic Specialist or Advanced Specialist Training (BST/AST).

Conditional registrant must remain under L1 supervision if 6-monthly assessment reports are average or below average.

Temporary registrant (service) must remain under L1 supervision if 6-monthly assessment reports are average or below average.

Temporary registrant (service) must remain under L1 supervision if 6-monthly assessment reports are average or below average.

Stainer and a papolinted must be appointed under L1 supervision if 6-monthly assessment reports are average or below average.

A trainer are appointed must be appointed for a supervision of the appointed supervisor and average or public) and would practise directly under L2 supervision which can be less intensive at the discretion of the appointed supervisor and not be subject to multi-rater assessments unless applicable e.g. has received poor assessment reports from supervisors.

Category	Category	
€	Foreign-trained (except European-trained) specialists can practise under supervision of an appropriate specialist in private specialist practice IF he/she has 5 years clinical experience atter obtaining speciality qualification. He/she must be accepted by the Specialist Accreditation Board and registered with SMC as a Specialist before he/she can practise as a specialist in Singapore	nical experience <u>after</u> obtaining specialty qualification. He/she must be
	Foreign-trained non-specialists can practise in non-institutional or private Family Medicine practice or other private group practice IF he/she has at least 5 years practice in Family Medicine after obtaining any of the following Family Physician qualifications:	e in Family Medicine <u>after</u> obtaining any of the following Family
	Member of the Royal College of General Practitioners, MRGGP (UK)	
į	Fellow of the Royal Australian College of General Practitioners, FRACGP (Australia only)	
<u> </u>	(b) • American Board Certification in Family Medicine, USA	
	Certificate of College of Family Physicians (CCFP, Canada)	
	 Fellow of the Hong Kong College of Family Physicians (FHKCFP) Note: To cupility for this carbonov, the choice's basic medical cupilification must be from a medical school listed in the current Schedule of the Medical Registration Act. 	

⁷ The temporary registrant (service) will revert to L1 supervision should he change practice place or employer. Changes in postings for Temporary registrants under Staff Registrar Schemes, specialist (Basic Specialist & Advanced Specialist Training) or family medicine training are not subjected to this rule.

⁸ One assessment report will be requested every year, unless there is a change in employer/practice place/supervisor etc.

LETTER OF UNDERTAKING

				Supervisor and Human Resource/Medical Affairs)
SM	C 1	3.1.V7	•	
Dat	:e	:		
โบ		:	Singapore Medical Council / At	tn to 🛷 🛌
SUI	PER	RVISO	RY FRAMEWORK FOR CONDITION	ALLY & TEMPORARILY REGISTERED DOCTORS
Sec	tioi	n (A) :	To be completed by Prospective S	upervisor
l co	nfir	m the	following:	,
(i)			ead the guidelines under the SMC's to comply with these guidelines.	s Supervisory Framework ¹ issued by the Medical Council and
(ii)	1 w	vill be	the supervisor ² for Dr	Conditional / Temporary*
(iii)	Ιa	m a <u>f</u> u	<u>ıll-time</u> employee working in the <u>same</u>	institution and department as the above-mentioned supervisee.
·*)		gnatur Super	e and Name Stamp visor:	
	Na	ime of	Institution/Department:	
Sec	tior	n (B) :	To be completed by Human Resou	rce/Medical Affairs
(i)	Po	sting	period of the above-mentioned superv	risee (if applicable)To
Not	α· Τ	o com	unlete part (ii) only if the above-ment	oned supervisee in Section (A) is on L1 or L2 supervision ³ .
			· · · · · · · · · · · · · · · · · · ·	Conditional & Temporary Registrant(s) on L1/L2:
	1.	Dr		☐ Conditional / ☐ Temporary*; (☐ L1 / ☐ L2*)
	2.	Dr	(as shown on Practising Certificate)	Conditional / Temporary*; (L1 / L2*)
	3.	Dr	(as shown on Practising Certificate)	Conditional / Temporary*; (L1 / L2*)
	V.	LJ1	(as shown on Practising Certificate)	
	4.	Dr	(as shown on Practising Certificate)	Conditional / Temporary*; (L1 / L2*)
	5.	Dr	(as snown on Practising Centificate)	Conditional / Temporary*; (L1 / L2*)
	O.	D'	(as shown on Practising Certificate)	Conditionary romporary , (
	6.	Dr_	(as shown on Practising Certificate)	Conditional / Temporary*; (L1 / L2*)
			tes attains out taking definedia)	
_				
			are available on SMC website: http://www.smc.gov.sg . Ple ia for Supervisor under SMC's Framework	ase print a hardcopy for your own reference.
Γ			cumorule are must be	In Polyclinics/ GPs/ Medical Centres/ VWOs/ Hospice, supervisors must be:

fully registered with the SMC; and registered specialist designated Associate Consultant or above

fully registered with SMC; and have at least 5 years of experience in general practice or a recognised postgraduate medical qualification

³ Conditionally registered doctors on L3 supervision are excluded from Supervisor-supervisee ratio.
⁴ Supervisor-supervisee ratio under SMC's Supervisory Framework:

		No. of L1 supervised doctor	No. of L2 supervised doctor	
		0	6	Ог
	1 supervisor to:	1	3	Or
l		2	0	

SUPERVISORY FRAMEWORK FOR CONDITIONAL/ TEMPORARY REGISTERED DOCTORS FOR PATIENTS' SAFETY

EMPLOYMENT IN A COMMUNITY HOSPITAL / HOSPICE

1. Orientation

- 1.1 All new doctors must undergo an orientation programme before they start work.
- 1.2 The doctor is to be provided with an Orientation File containing the following:
 - a) Important regulations and professional guidelines governing medical practice in Singapore
 - b) General information about the organisation of the hospital/ hospice and the services that it provides
 - c) Good practice in record keeping
 - d) Procedures for arranging x-rays and other investigations, and obtaining test results within and outside normal working hours
 - e) Good prescribing habits
 - f) Management protocols for the more common conditions treated in the hospital/ hospice and for emergency conditions
 - g) List of drugs available in the hospital/ hospice and their recommended dosages, side effects etc
 - h) SMC Ethical Code & Ethical Guidelines
- 1.3 A briefing is to be given to highlight the salient points in the file. The briefing should include:
 - overview of the health care provision in Singapore
 - local laws and regulations applicable to doctors
 - administrative information e.g. working hours, duty roster and support facilities available
 - an insight into the local culture and working environment
- 1.4 The doctor should be informed about how he would be appraised and assessed.
- 1.5 The doctor is to be given an orientation cum tour of the hospital/ hospice during which the new doctor is introduced to the key staff.

2. Supervision

- 2.1 The doctor must work under the supervision of a fully registered medical practitioner. The name and designation of the supervisor must be made known to the Singapore Medical Council (SMC).
- 2.2 The new doctor should be formally introduced to his supervisor so that the doctor will know who his supervisor is and the supervisor will know who he is expected to supervise.
- 2.3 The supervisor must observe the supervisor-supervisee ratio below.

Table 1: Supervisor-supervisee Ratio

	No. of L1 supervised doctor	No. of L2 supervised doctor	
	0	6	Or
1 supervisor to:	1	3	Or
	2	0	

Level One (L1) supervision - to be applied in the first 6 months of conditional registration where level of supervision by the appointed supervisor will be more intense.

Level Two (L2) - supervision — A conditionally registered doctor who has received at least "above average" performance grading for the past 6 months [minimum period] would be eligible to progress to L2 supervision if he was not involved in any adverse complaints and feedback, subject to the Council's approval. The level of supervision can be less intense at the discretion of the appointed supervisor.

A foreign-trained doctor who has completed his housemanship in Singapore satisfactorily will be eligible for L2 supervision provided he fulfills the following:-

- The doctor was not required to repeat his training in any posting during housemanship or first year of his residency; and
- The doctor has successfully obtained a Certificate of Experience issued by the House Officers' Training Committee appointed under the Medical Registration Act (MRA); and
- The doctor has an employment offer as medical officer with MOH Holdings Pte Ltd or an approved healthcare institution in the public sector; and
- The doctor fulfils SMC's criteria for conditional registration.

Note: **Level Three (L3) supervision** has been introduced for <u>conditionally</u> registered doctors who have been ascertained to be ready to work independently, but have yet to fulfill the specified period¹ of supervised practice required for computation towards Full registration.

Subject to the Council's approval, the conditionally registered doctor must:

- Have <u>valid</u> medical registration and practising certificate; and
- Have received "above average" performance grading for the past 1½ years [minimum period]; and
- Not be involved in any adverse complaints and feedback.

A conditionally registered doctor on L3 supervision will:

- Continue to practise under supervision of an SMC-approved supervisor;
- ii) Be subjected to assessment reports by the respective supervisor(s). The reports will be requested by SMC annually until such time that the supervisees complete the specified period of supervised practice required for computation towards the doctor's eligibility for Full registration;
- iii) Be excluded from the ratio count under the Supervisory Framework as indicated in Table 1; and
- iv) Prohibited from assuming supervisory role(s) for other junior doctors.

Progressions to the different levels of supervision are <u>not</u> automatic. While every effort is made by the Council to systematically progress doctors to L2/L3 supervision, the employers and HODs are strongly encouraged to put in their requests in writing, if they wish to progress their doctors accordingly.

1 The specified period of service under conditional registration as indicated in Table A below.

Table A -- Specified Period of Supervised Practice Required of a Conditional Registrant

Specialist*
Non-Specialist*
Category/ Terms for Conditional Registration

Singaporean Foreigner & PR Singaporean Foreigner & PR

Specified period of good performance required before eligibility for Full registration

1 year 2 years 2 years 4 years

Please note that this is only a summarised version. For details, please visit the SMC website: http://www.smc.gov.sg

- 2.4 The doctor-in-charge of the ward will be responsible for assigning the types of cases to be seen by a new doctor during the initial few weeks or months until such time that the doctor is able to handle the normal caseload.
- 2.5 A new doctor should not be allowed to do any operation/ procedure on his own until such time that his supervisor is satisfied that he has been properly trained and is competent to do the operation/ procedure. The doctor must never be assigned a task for which he has insufficient experience or expertise.
- 2.6 The doctor must have direct and timely access to his supervisor or a senior colleague for advice and assistance whenever he has a problem in managing a patient.

3. Monitoring & Feedback

3.1 All newly registered doctors on conditional registration will be subject to Level 1 supervision². Close supervision³ would be accorded in the first 3 months of the doctor's registration. The case records of the patients clerked/ treated by a new doctor are to be audited daily by his supervisor at least for the first 3 months. This daily auditing may be extended based on the discretion of the supervisor.

² Exception 1: Conditional registered doctors belonging to Category (A) or (B) below would practise directly under L2 supervision which can be less intense at the discretion of the appointed supervisor.

Category	Details								
(A)	Foreign-trained (except European-trained) specialists can practise under supervision of an appropriate specialist in private specialist practice IF he/she has 5 years clinical experience after obtaining specialty qualification. He/she must be accredited by the Specialist Accreditation Board and registered with SMC as a Specialist before he/she can practise as a specialist in Singapore								
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	Fellow of the Royal Australian College of General Practitioners, FRACGP (Australia only)								
(B)	American Board Certification in Family Medicine, USA								
	Certificate of College of Family Physicians (CCFP, Canada)								
	Fellow of the Hong Kong College of Family Physicians (FHKCFP)								
	Note: To qualify for this category, the doctor's basic medical qualification must be from a medical school listed in the current Schedule of the Medical Registration Act.								

Exception 2: Conditionally registered doctors who have completed housemanship in Singapore satisfactorily would practise directly under L2 supervision, unless directed otherwise by the Council.

³ The case records of patients seen by doctor must be under supervision and are to be audited daily by the supervisor in the first 3 months. Audits include in-patient management decisions made by the doctor and outpatient cases. Doctors performing procedures must have their practical and surgical work supervised and audited in the same way.

- 3.2 If major flaws are discovered during auditing, the supervisor should sit-in with the new doctor to observe his clerking sessions to give immediate feedback.
- 3.3 One-to-one verbal feedback should also be given daily from the time when the new doctor begins to see patients on his own. Once the new doctor's confidence and competency level builds up, the frequency of feedback could be reduced.
- 3.4 In addition to the above feedback sessions, arrangements should be made for the doctor to attend teaching sessions during which protocols are examined, doubts cleared and case studies of difficult patients discussed.
- 3.5 The supervisor will provide regular feedback to the medical administrator in charge of the hospital/ hospice on the progress of the doctor.
- 3.6 The supervisor is required to keep proper documentation of his review of the doctor's work as these would be audited by the SMC. For example, case notes that have been audited are to be initialled by the supervisor and comments/ amendments clearly written in the notes. Also, where a doctor has been counselled, a short note on the counselling given should be recorded in the doctor's personal file.
- 3.7 The following are to be made available to the audit team for inspection:
 - a) Orientation Package for conditionally and temporarily registered doctors
 - b) Record of attendance at the orientation programmes by conditionally and temporarily registered doctors
 - c) Case records showing evidence of auditing by the individual doctor's supervisor
 - d) Documentation in the doctor's personal file of any counselling given
- 3.8 In the event that the audit findings show that the standard of supervision is consistently unsatisfactory, the SMC might not allow any new conditionally registered doctors to work in the hospital / hospice concerned in the future.
- 3.9 Any problems faced with the doctor are to be reported to the medical administrator in charge of the hospital/ hospice for remedial action.
- 3.10 Where difficulties arise, especially in adaptation and phasing into the system, the supervisor and medical administrator in charge of the hospital/ hospice is to take appropriate actions and inform the management.

3.11 The medical administrator in charge of the hospital/ hospice is to monitor the progress of the doctor very closely. Recommendation for termination or continuation of service is to be made when appropriate.

4. Supervisor's Assessment Reports

- 4.1 The frequency of supervisor's assessment (Form C1) for a new conditional L1 registrant is as follows:
 - (a) First assessment report at 3rd month;
 - (b) Second assessment report at 6th month;
 - (c) Subsequent assessment reports at 6-monthly intervals
- 4.2 The frequency of supervisor's assessment (Form C1) for a new conditional L2 registrant belonging to Category (A) or (B) or who have completed housemanship in Singapore satisfactorily will be every 6 months.
- 4.3 The frequency of supervisor's assessment (Form C1) for a conditional L3 registrant will be on an annual basis.

5. Multi-rater Assessment Reports

- 5.1 The purpose of the multi-rater assessment reports by peers and fellow colleagues is to provide SMC with a holistic view of the conditional registrant's performance whilst practising under supervision.
- 5.2 New conditional L2 registrants belonging to Category (A) or (B) or who have completed housemanship in Singapore satisfactorily are generally not subjected to multi-rater assessments unless required by the Council e.g. the doctor has received poor assessment reports from his supervisors.

6. Identification of Poor Performers

- 6.1 Poor performers are doctors whose medical competence is not up to par or whose communication with patients/ colleagues is consistently poor, or those with poor attitude.
- 6.2 The feedback and auditing sessions would enable the identification of new doctors who are weak in their work. The specific areas of weakness are to be identified early so that corrective action can be taken without delay.

- 6.3 A doctor with poor attitude is usually identified from feedback from fellow doctors, nurses and paramedical staff within the clinic. Feedback from patients is also extremely important.
- 6.4 A doctor who is a poor performer is to be given counselling by his supervisor once the problem is highlighted.
- 6.5 If there is no improvement seen within one month after counselling, the supervisor should notify the Head of Department and the hospital management, who should then take appropriate action.

<u>Note</u>: The above Supervisory Framework would discretionarily apply to doctors on <u>temporary</u> registration for <u>Service</u> and for Training (i.e. <u>Clinical</u> Fellows or <u>Clinical Observers</u>).

Service

The additional Supervisory Framework guidelines applicable to temporary registrants on Service are set out as follows.

A1 Level One (L1) supervision - to be applied in the first year of temporary registration (service) where the level of supervision by the appointed supervisor will be more intense. Depending on the circumstances, L1 supervision may be extended at the discretion of the Council.

Level Two (L2) supervision - A temporarily registered doctor (excluding clinical fellows and clinical observers) who has received at least "above average" performance grading for the past 1 year [minimum period] would be eligible to progress to L2 supervision if he was not involved in any adverse complaints and feedback, subject to the Council's approval. The level of supervision can be less intense at the discretion of the appointed supervisor.

Note: The temporary registrant will revert to L1 supervision should he change to a different discipline or practice place (not applicable to specialist or family medicine or Staff Registrar Scheme trainees on rotations).

- A2 The frequency of supervisor's assessment (Form T1) is as follows:
 - (a) First assessment report at end of 3rd month;
 - (b) Subsequent assessment reports at 6-monthly intervals;
 - (c) End of term assessment report

Training (Clinical Fellows/ Clinical Observers)

The additional Supervisory Framework guidelines applicable to Clinical Fellows/ Observers are set out as follows.

- B1 Clinical Fellows/ Observers must remain under Level 1 supervision for the entire duration of their training.
- B2 The frequency of supervisor's assessment (Form T3) is as follows:
 - (a) First assessment report at 3rd month;
 - (b) Subsequent assessment reports at 6-monthly intervals;
 - (c) End of term assessment report
- B3 Clinical <u>Observers</u> must <u>not</u> be involved in the primary management of patients, write in case notes, prescribe treatment or perform procedures independently⁴.
- B4 Clinical <u>Fellows</u> must maintain a logbook of cases that were counselled/ audited by the supervisor.
- At the end of the Clinical Fellow/ Observer's training, the trainee doctor must complete a feedback form (Form T4) and have it submitted to the SMC before the doctor leaves the institution.

⁴ Hands-on training must be done under direct supervision. The institution, hospital, supervisor and temporary registrant will be held accountable should this condition be breached.

SMC Reference Table for Progression and Assessment of Conditional & Temporary Registrants

Assessment to be completed by Dy.	C1- Designated supervisor	C2- Peers (2 medical doctors & 1 Nursing Officer) selected by SMC	T1- Designated supervisor T3- Designated supervisor T4- Clinical Fellow/ Observer	T3- Designated supervisor T4- Clinical Fellow/ Observer	C1- Designated supervisor T1- Designated supervisor T3- Designated supervisor T4- Clinical Fellow/ Observer	C1- Designated supervisor
Training (Clinical Observers & Clinical Fellows)				Form T3 & Feedback Form T4		
Assessment Forms for Temporary-registrants ruce Training* Training* (Clinical Fellow Observer vicent) Takenty registered before Observer (Clinical Fellow)			Form T3 & Feedback Form T4		Form T3 & Feedback Form T4	
Assessme Service (Short-term employment)			Form T1		Form T1	
Assessment Forms for Conditional registrants	Form C1	Fоrm C2			Form C1	Form C1
Frequency of Assessment.	At ع ^{نا} & 6 th month	At 6 th month	At 3 rd month, then at 6-monthly intervals & at end of term.	At 3 rd month, then at 6- monthly intervals & at end of term, ⁵	6-monthly intervals	12-monthly intervals ⁸
Year(s) registered with SMC	C-reg: First ½ year² in approved institution		T-reg (Service): First 1 year ³ in approved institution	T-reg (Training): Entire Training duration	C-reg: (i) After ½ year if reports are good in approved institution (ii) C-reg (converted) after completion of housemanship in Singapore satisfactorily (iii) C-reg joining private sector directly. T-reg (Service): After 1st year if reports are good in approved institution	C-reg: Atter 1 year on L2 supervision if reports are good
Supervision		Level 1 (L1)			Level 2 (L.2)	Level 3 (L3)

Note: Depending on circumstances, multi-rate (MR) assessments (Form C2) of C-registrants beyond the first 1/2 year, T-registrants and P-registrants may be conducted as directed by Council e.g. has received poor assessment reports from supervisors.

¹ Refers to all trainees and fellows granted Temporary registration (T-reg), but excludes T-registrants accepted into Basic Specialist or Advanced Specialist Training (BST/AST).

² Conditional registrant must remain under L1 supervision if 6-monthly assessment reports are average or below average.

³ Temporary registrant (service) must remain under L1 supervision if 6-monthly assessment reports are average or below average.

⁴ Supervision to be appointed must need sMO's oritinari. Or full registration, Associate Consultant (registered specialist) or Family Physician with 5 yrs working experience in Family Practice.

⁴ At trainer must remain under L1 supervision of training.

⁵ At trainer must remain under L2 supervision throughout the entire duration of training.

⁶ A conditional registrant belonging to Category (A) or (B) below may apply to join any healthcare institution (private or public) and would practise directly under L2 supervision which can be less intensive at the discretion of the appointed will not be subject to multi-rater assessments unless applicable e.g. has received poor assessment reports from supervisors.

Category		Details
(A)	Foreign- accredite	Foreign-trained (except European-trained) specialists can practise under supervision of an appropriate specialist in private specialist practice iF he/she has 5 years clinical experience affect obtaining specialist pation. He/she must be accredited by the Specialist Accreditation Board and registered with SMC as a Specialist before he/she can practise as a specialist in Singapore
	Foreign- Physician	Foreign-trained non-specialists can practise in non-institutional or private Family Medicine practice or other private group practice IF he/she has at least 5 years practice in Family Medicine atter obtaining any of the following Family Physician qualifications:
	•	Member of the Royal College of General Practitioners, MRCGP (UK)
é	•	Fellow of the Royal Australian College of General Practitioners, FRACGP (Australia only)
<u>0</u>	•	American Board Certification in Family Medicine, USA
	•	Certificate of College of Family Physicians (CCFP, Canada)
	• 6	
	Note: 10	NOTE. To quality for this category, the doctor's basic medical qualitication must be from a medical school listed in the current Schedule of the Medicial Registration Act.

⁷ The temporary registrant (service) will revert to L1 supervision should he change practice place or employer. Changes in postings for Temporary registrants under Staff Registrar Schemes, specialist (Basic Specialist & Advanced Specialist Training) or family medicine training are not subjected to this rule.

⁸ One assessment report will be requested every year, unless there is a change in employer/practice place/supervisor etc.

<u>LETTER OF UNDERTAKING</u>
(To be completed by Prospective Supervisor and Human Resource/Medical Affairs)

						
Dat	te					
`1 U		: Sin	gapore Medical Council	/ At	Attn to 松 🚈	
SUI	PERVI	SORY F	RAMEWORK FOR COND	DITION	NALLY & TEMPORARILY REGISTERED DOCTORS	
Sec	etion (A) : To l	oe completed by Prospec	tive S	Supervisor	
co	nfirm	the follo	wing:			
(i)			the guidelines under the comply with these guidelin		's Supervisory Framework ¹ issued by the Medical Counc	il an
(ii)	l will	be the s	upervisor ² for Dr	(as sh	Conditional / Temporary*	۴
(iii)	l am	a <u>full-tim</u>	e employee working in the	same	e institution and department as the above-mentioned super	visee
<i>(</i>)	_	iture and pervisor	i Name Stamp			
	Name	of Insti	tution/Department:			
Sec	ition (E	3) : To t	e completed by Human	Resou	urce/Medical Affairs	
(i)	Posti	ng period	d of the above-mentioned	superv	visee (if applicable) To	
					(dd/mm/yyyy) (dd/mm/yyyy	•
Note	e: lo c	omplete	part (ii) <u>only</u> if the above	-menti	ioned supervisee in Section (A) is on L1 or L2 supervision	13.
(ii)	The s	uperviso	or is currently supervising ⁴		Conditional &Temporary Registrant(s) on L1/L2	2:
	1. D	r			Conditional / Temporary*; (L1 / L1 /	1 2*1
		·	(as shown on Practising Certif	icate)		-)
	2. D	r		·	Conditional / 🔲 Temporary*;(🔲 L1 / 🔲 I	L2*)
	٥ ٥		(as shown on Practising Certit	icate)		
	3. D	<u> </u>	(as shown on Practising Certif	icate)	Conditional / Temporary*; (L1 / 🔲 I	_2*)
	4. Di	T +	•	,	☐ Conditional / ☐ Temporary*; (☐ L1 / ☐ I	2*)
			(as shown on Practising Certif	icate))
	5. Di				Conditional / 🗌 Temporary*; (🔲 L1 / 🔲 I	_2*)
	0 D		(as shown on Practising Certif	icate)		
	6. Di		(as shown on Practising Certif	īcate)	Conditional / Temporary*; (L1 / 🔲 l	_2*)

			lable on SMC website; <u>http://www.smc.g</u> pervisor under SMC's Framework	<u>ov.sg</u> . Plea	ease print a hardcopy for your own reference.	
			ors must be:		In Polyclinics/ GPs/ Medical Centres/ VWOs/ Hospice, supervisors must be:	[
			th the SMC; and ist designated Associate Consultant or at	oove	(i) fully registered with SMC; and (ii) have at least 5 years of experience in general practice or a recognised postgraduate medical qualification	
3 4	Conditiona	ally registered	I doctors on L3 supervision are excluded ratio under SMC's Supervisory Framewor	from Supe	pervisor-supervisee ratio.	
		Super Hace	No. of L1 supervised doctor		.2 Supervised doctor	
			0		6 Or	

Or

1 supervisor to:

^{*} Please check where applicable