INFORMATION SHEET

(A) <u>Making a complaint</u>

1. Before lodging a complaint against a doctor, you should read and understand the following information.

2. Your complaint should be type-written in English. Otherwise, an authenticated English translation must be submitted together with the complaint. Please note that the Singapore Medical Council ("**SMC**") is unable to accept verbal complaints (e.g. phone calls or walk-ins) or complaints via email.

3. Please refer to the enclosed <u>Sample Letter</u> for an example. Your complaint must contain <u>the</u> <u>full name of the doctor(s) who is/are the subject of the complaint and the name of the</u> <u>healthcare institution(s)</u>. You must also state <u>the allegation(s) against the doctor(s), including</u> <u>any necessary details, and include copies of all documents necessary to support your</u> <u>complaint</u>.

4. In order for a complaint to be investigated effectively, the complaint should be lodged <u>within</u> <u>six years of the incident</u>, as the relevant evidence such as medical records and witness testimony may become unavailable or difficult to secure with the passage of time.

5. As an independent administrator of the disciplinary process, the SMC is unable to obtain the name of the doctor(s) for you and/or assist you to draft your complaint. Where necessary, you should contact the relevant healthcare institution(s) to find out the name of the doctor(s).

(B) Before submitting the complaint

6. Under the Medical Registration Act ("**MRA**"), a complaint must be made in writing and supported by a Statutory Declaration ("**SD**").

7. The SD can be made before a Commissioner for Oaths ("**CFO**") at the SMC's office, located at 16 College Road, College of Medicine Building #01-01, Singapore 169854, <u>strictly by</u> <u>appointment only</u>. Please call <u>6372-3065</u> to make an appointment with the CFO only when your complaint letter is ready.

8. Alternatively, you can make a SD with a CFO on your own. Kindly ensure that your <u>name</u>, <u>address and occupation</u> are included in the SD.

9. On the day of the appointment, please bring along your photo identification for identification purposes (e.g. identity card, passport, etc). While you should complete all the fields in the SD form, please <u>do not sign the form before the appointment</u>.

(C) <u>Submitting the complaint</u>

10. After endorsement by a CFO, you may then submit the complaint and the SD to:

Chairman, Complaints Panel Singapore Medical Council 16 College Road, #01-01 College of Medicine Building Singapore 169854

The original SD <u>must</u> be attached to the complaint. The SMC will acknowledge receipt of the complaint and SD, and may reject any complaint or SD not made in compliance with the law or in accordance with its requirements.

INFORMATION SHEET

THE ROLE OF THE SMC IN THE DISCIPLINARY PROCESS

What is the function of the Singapore Medical Council?

1. The SMC is a statutory board that, among other things, maintains the register of doctors and governs and regulates their professional conduct and ethics.

Is the SMC the right place for you to lodge your complaint?

2. By law, SMC can only consider complaints against <u>doctors</u>. Some non-exhaustive illustrations of situations, which SMC is empowered to investigate, are as follows:

- (a) Cases involving serious misconduct on the part of the doctor or serious mistakes pertaining to a patient's medical care, for example, serious cases involving a mis-diagnosis or misprescription by the doctor concerned;
- (b) Improper conduct by a doctor (e.g. an inappropriate or sexual relationship between a doctor and his patient);
- (c) Breach of confidentiality by a doctor;
- (d) Unethical behaviour by a doctor against his/her patient; and
- (e) Criminal offences committed by doctors that reflect on their professional standing and the standing of the medical profession.
- 3. SMC is **NOT EMPOWERED** to investigate complaints that pertain to the following:
 - (a) Complaints that primarily pertain to <u>institutional</u> policies (e.g. scheduling of appointments, delays in treatment due to institutional frameworks, billing issues arising from institutional policies) or complaints directed against a healthcare institution and not a doctor;
 - (b) Complaints against healthcare professionals other than doctors, including nurses, allied healthcare professionals and hospital/institution staff; and
 - (c) Any complaint against any other party who is not a registered medical doctor with the SMC (e.g. traditional Chinese medicine practitioners, or foreign doctors who are not registered with the SMC).

Can you lodge a complaint with SMC even before trying to resolve the matter with the doctor and/or healthcare institution concerned?

4. Yes. In fact, where the case involves serious allegations of misconduct or where there is a serious defect in the quality of services provided or a significant question of the physical or mental fitness of the doctor to practise medicine, we encourage you to report the matter to SMC <u>as soon as possible</u>.

5. However, while there is no requirement that you attempt to resolve the matter with the doctor and/or healthcare institution first, our experience suggests that many complaints can be resolved to the satisfaction of all parties *via* an informal resolution of the matter with the doctor and/or healthcare institution concerned. This is especially since SMC cannot provide the redress that complainants sometimes want (see paragraph 6 below). Therefore, we recommend that you highlight your concerns to the doctor(s) and/or healthcare institution(s) concerned in case the matter may be resolved without the need for you to make a complaint. That said, if the matter is serious (examples are set out in the previous paragraph), we recommend that you make a complaint whether or not you have tried to resolve the matter with the doctor(s) and/or healthcare institution(s) concerned.

INFORMATION SHEET

Can an order be made for the doctor to reduce a medical bill or give an explanation about what happened in a medical procedure?

6. You may believe that you were charged an unfair rate by a doctor for the medical services rendered, or was dissatisfied with the explanation given or lack thereof after a procedure or treatment (whether or not the outcome was adverse). While you can make a complaint in such situations, you should be aware that the disciplinary framework only allows the imposition of disciplinary sanctions against doctors. Please note that the following outcomes are <u>not</u> possible even if your complaint is found to be supported by evidence:

- (a) Refund of monies to a patient or a reduction in a bill incurred by a patient;
- (b) Compensation/damages for any harm caused as a result of the doctor's misconduct or negligence;
- (c) Retrieval of medical records on a patient's behalf;
- (d) An explanation from the doctor(s) and/or healthcare institution(s) in respect of a treatment or procedure;
- (e) An order that the doctor(s) perform a particular procedure or offer a certain treatment;
- (f) An apology from the doctor(s) or healthcare institution(s);
- (g) Revocation of the licence of the healthcare institution(s); and
- (h) Criminal sanctions (e.g. imprisonment) against the doctor(s) and/or healthcare institution(s).
- 7. Some other avenues for seeking the above outcomes are provided in paragraph 8 below.

What are other avenues available for you to seek redress?

8. The SMC process may not be appropriate for all complaints involving healthcare issues. There are other avenues that may be more suited to deal with such complaints. Some of the common avenues to deal with such complaints (and when they might be appropriate) are as follows:

- (a) Communicating with the healthcare institution/doctor concerned: We have found that a large majority of cases involving issues of miscommunication or where the issues pertain to a patient's desire for an explanation of certain medical matters are best resolved directly with the doctor or healthcare institution concerned. For that reason, we <u>strongly recommend</u> that you first attempt to resolve the dispute by approaching the parties concerned in such cases. The fact that you had attempted to resolve the matter with the healthcare institution and/or doctor concerned informally will <u>not</u> be taken against you if you do eventually decide to make a complaint;
- (b) Ministry of Health Holdings Healthcare Mediation Scheme ("HMS"): The HMS promotes the voluntary use of mediation to resolve disputes between patients and healthcare institutions. This platform is suited for disputes involving patient care, medical management, service quality and medical fees. Two mediators are appointed for each mediation session to help parties reach a mutually acceptable and binding agreement. The HMS may be more suitable if you are seeking certain outcomes (e.g. an apology, an explanation from the doctor concerned, and/or financial compensation) that cannot be facilitated by the SMC disciplinary process. The HMS does not deal with cases involving disciplinary, criminal or regulatory offences. For more information about the HMS, including the fees involved, please visit www.mohh.com.sg/hms or contact the Mediation Unit at <u>6622-3755</u> or <u>mediate@mohh.com.sg</u>;
- (c) Filing a complaint with other regulators: For complaints against institutions, or other healthcare professionals, you may wish to file a complaint with the relevant regulatory agency in charge of such matters;

INFORMATION SHEET

- (d) Filing a civil claim: If you are seeking damages or financial compensation from a doctor and/or a healthcare institution, you may wish to consider filing a civil suit instead. Depending on the quantum of the claim, you may have to file it in either the High Court or in the State Courts. You would have to seek independent legal advice should you decide to pursue this option; and
- (e) **Making a police report**: In serious cases involving alleged criminal conduct on the part of a doctor, we recommend that you lodge a report with the Singapore Police Force.

How are complaints processed?

9. Every complaint will be investigated by an independent Complaints Committee ("**CC**"), which comprises a Council member as chair, a doctor and a layperson. Given the serious nature of many complaints, investigations usually take <u>at least 9 months</u>. For more complex complaints, investigations may take <u>more than a year</u>. These timelines may be extended further due to various factors, including where a complainant furnishes new information after making a complaint, as the CC must review the new information.

10. As all proceedings before the CC are confidential, **you will not receive periodic updates** on the status of the investigations. The CC is an independent and separate body from the SMC, and the SMC Secretariat is **NOT EMPOWERED** to release any information to you during the course of investigations. You will, however, be informed in writing of the outcome of the CC's deliberations as soon as a decision has been reached. We seek your understanding in this regard.

Will the doctor see your complaint?

11. As part of a fair and impartial investigation, the doctor may be called upon to answer any allegation made against him/her, and if so, <u>your complaint (including your identity and the details of your allegation(s)) must, by law, be furnished to the doctor</u>. The CC may also access your medical records as part of the investigations, though all documents before the CC (including your complaint and medical records) remain confidential and will not be disclosed to third parties other than the doctor(s) being complained against, except as required by law.

What are the possible outcomes after the CC completes its investigations?

- 12. After the CC completes its investigations, it may:
 - (a) Dismiss the complaint;
 - (b) Refer the matter for mediation between the doctor and the complainant at the Singapore Mediation Centre;
 - (c) Issue the doctor with a letter of advice or a letter of warning; or
 - (d) Order the doctor to undergo medical or psychiatric treatment or counselling, undertake and complete specified further education or training, or report on the status of his/her medical practice and seek and take advice on the management of his medical practice.

13. If the CC determines that a formal inquiry is necessary, it will order that an inquiry be held by a Disciplinary Tribunal.

14. If the complaint touches on the doctor's physical or mental fitness to practise, the CC can also order a formal inquiry to be held by a Health Committee.

INFORMATION SHEET

What can you do if you are not satisfied with the outcome of the complaint?

15. If you are dissatisfied with the order(s) of the CC made following investigations, you may appeal to the Minister for Health in writing (whose decision shall be final and conclusive) within 30 days after being notified of the decision of the CC. Beyond which, the Minister does not have the statutory authority to look into your appeal. The appeal should be submitted to the following address:

Minister for Health Ministry of Health 16 College Road College of Medicine Building Singapore 169854

For queries pertaining to the appeal process, please contact the Ministry of Health directly at 1800 225 4122 or moh_gsm@moh.gov.sg.

SAMPLE LETTER

"**A**"

- « Date of Statutory Declaration »
- « Your name »
- « Address 1 »
- « Address 2 »
- « Address 3 »

Chairman, Complaints Panel Singapore Medical Council 16 College Road #01-01 College of Medicine Building Singapore 169854

Dear Sir

Please note that Complaints Committee may not be able to investigate the complaint without the full name of the doctor(s).

COMPLAINT AGAINST « FULL NAME OF DOCTOR(S) »

1. Introduction (to provide the following information, where relevant)						
	State if you are the patient who was attended to by the above named doctors.					
	•	 If you are not the patient, please state the following in the complaint: 				
		a) Full name of the patient;				
		b) The patient's NRIC or passport number;				
		c) Your relationship with the patient (e.g. parent, child, spouse);				
		d) Why the patient is unable to lodge the complaint personally; and				
		e) Whether the patient has given consent for (i) you to lodge the complaint; and (ii)				
		his/her medical information to be disclosed to you. In the event the patient is				
		unable to give consent, please indicate the reason (e.g. patient has passed away)				
	•	Provide a summary of the date(s) and location(s) of the incident(s).				
	Example:					
	"I am the mother of the patient, «Name of patient in full» of Birth Certificate number: «BC					
	number». I am making this complaint as my daughter was one year old when the incidents					
		happened between DD MM YYYY and DD MM YYYY at «name of clinic or hospital»."				
0	D Details of your complaint					
	Provide a title for each allegation against each doctor, followed by details.					
	Example A (complaint against one doctor):					
	"Title: Failure to provide professional service					
	Details: On DD MM YYYY, during my consultation with the doctor, he had made the					
	wrong diagnosis for my condition which resulted in me undergoing the unnecessary					
	treatment."					
	"Title: Overcharging for consultation					
	Details: On DD MM YYYY, I had a short consultation with the doctor of less than S					
		minutes and was only given two types of flu medications. However, I was charged a				
	consultation fee of \$400, which was more than 10 times the amount I have been charged					
1	at other GP Clinics for the same type of illness and medicine."					

Please state the page number on every page (including on all supporting documents)

SAMPLE LETTER

	Example B (complaint against more than one doctor):
	Title: Failure of «Name of first doctor» to diagnose my condition
	Details: [Please provide particulars specific to this doctor's alleged failure]
	Title: «Name of second doctor» was rude and made condescending remarks
	Details: [Please provide particulars specific to this doctor's alleged conduct]
1	Any Police report made / Legal Proceeding?
•	Please indicate if you have made any Police report(s) and/or commenced legal
	proceeding(s) against the named doctor(s) which arise from the same set of facts and
	particulars detailed in this complaint.
	 If yes, please provide the Police's and/or Court's reference number and attach a copy of the
	Police report(s) and/or Court's documents.
	Example:
	"I have made a Police report at «location of police station» and/or commenced legal
	proceedings against «Name of doctor(s) in full» at the State Courts* / High Court* which
	arise from the same set of facts and particulars detailed in my complaint. The Police's* /
	Court's* reference number is «reference number».
	* Please delete where applicable
2	Provide a list of documents enclosed with the complaint and label them accordingly
	Example: Please refer to the template at <u>Annex A</u>
	[Annex A: Timeline of Events]
	[Annex B: Discharge Summary dated DDMMYYYY]
	[Annex C: Emergency Notes dated DDMMYYYY]
	[Annex D: Medical Report dated DDMMYYYY]
	[Annex E: Correspondences with Dr XXX]
	[Annex F: Correspondences with XXX Hospital]
	[Annex G: Coloured Photographs of XXX dated DDMMYYYY]
	If you are enclosing the following items.
	are required to provide seven (7) copi
our	 rs faithfully Coloured photographs Coloured documents
	 Coloured documents Sterage medium (e.g. DVDe er thum

[Signature]

[Name in full]

- u
- Storage medium (e.g. DVDs or thumb ٠ drives)

SAMPLE LETTER

TIMELINE OF EVENTS

<u>Annex A</u>

Please annex your supporting documents accordingly

S/N	Date	Occurrence
1	[DDMMYYYY]	- I visited the Emergency Department of XXX Hospital at about 1.00am
		as I had a difficulty in breathing
		- Saw Dr XXX at about 1.30am. Told him that I have been feeling
		breathless since 11.00pm
2	[DDMMYYYY]	- I returned to see Dr XXX at the Outpatient Clinic of XXX Hospital as
		scheduled.

[Signature]

INFORMATION SHEET

ACKNOWLEDGMENT

I have read the enclosed information sheet and/or the information was read and explained to me. I have noted and understood all the contents of the information sheet.

I am aware that the SMC disciplinary process is suitable only for certain types of complaints, and have considered the other avenues indicated.

I have duly noted the time that is typically taken for a complaint to be processed. I understand that the indicated timeline for the processing of complaints is an estimate and not a guarantee that an outcome will be issued within a certain period.

I understand and acknowledge that *the patient / my information may be provided to the doctor (if necessary) and *the patient / my medical records may be requested and accessed by the Complaints Committee.

Please tick only if you are not the patient.

I confirm that I have sought the patient's consent to lodge the complaint on his/her behalf and that his/her medical information may be disclosed to me.

*please delete accordingly

Signature of Complainant

Name:

Date:

Signature of SMC Secretariat

Name:

Date: